

# Reliable Fix Patterns Inferred from Static Checkers for Automated Program Repair

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Fix pattern-based patch generation is a promising direction in automated program repair (APR). Notably, it has been demonstrated to produce more acceptable and correct patches than the patches obtained with mutation operators through genetic programming. The performance of pattern-based APR systems, however, depends on the fix ingredients mined from fix changes in development histories. Unfortunately, collecting a reliable set of bug fixes in repositories can be challenging. In this article, we propose investigating the possibility in an APR scenario of leveraging fix patterns inferred from code changes that address violations detected by static analysis tools. To that end, we build a fix pattern-based APR tool, AVATAR, which exploits fix patterns of static analysis violations as ingredients for the patch generation of repairing semantic bugs. Evaluated on four benchmarks (i.e., Defects4J, Bugs.jar, BEARS, and QuixBugs), AVATAR presents the potential feasibility of fixing semantic bugs with the fix patterns inferred from the patches for fixing static analysis violations and can correctly fix 26 semantic bugs when AVATAR is implemented with the normal program repair pipeline. We also find that AVATAR achieves performance metrics that are comparable to that of the closely related approaches in the literature. Compared with CoCoNut, AVATAR can fix 18 new bugs in Defects4J and 3 new bugs in QuixBugs. When compared with HDRepair, JAID, and SketchFix, AVATAR can newly fix 14 Defects4J bugs. In terms of the number of correctly fixed bugs, AVATAR is also comparable to the program repair tools with the normal fault localization setting and presents better performance than most program repair tools. These results imply that AVATAR is complementary to current program repair approaches. We further uncover that

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96:2 K. Liu et al.

AVATAR can present different bug-fixing performances when it is configured with different fault localization tools, and the stack trace information from the failed executions of test cases can be exploited to improve the bug-fixing performance of AVATAR by fixing more bugs with fewer generated patch candidates. Overall, our study highlights the relevance of static bug-finding tools as indirect contributors of fix ingredients for addressing code defects identified with functional test cases (i.e., dynamic information).

CCS Concepts: • Software and its engineering  $\rightarrow$  Software verification and validation; Software defect analysis; Software testing and debugging;

Additional Key Words and Phrases: Automated program repair, static analysis, fix pattern

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#### 1 INTRODUCTION

The momentum of **automated program repair (APR)** keeps activating the development of various approaches in the literature [49]. In the software engineering community, the focus is mainly placed on fixing *semantic bugs* that make the program behavior deviate from developers' intentions [46, 50]. Such bugs could be detected by analyzing the execution traces of failing and passed tests. The research community has developed generate-and-validate repair pipelines [4, 16, 23, 25, 31, 62, 63, 68] where program test cases are leveraged not only for localizing the bug locations [1, 29, 52, 72] but also as the oracle for validating the generated patches [22, 37, 53, 67].

Unfortunately, given that test suites can be incomplete and one-sided, typical APR systems are prone to generate nonsensical patches that might violate the intended program behavior or simply introduce other defects that are not covered by test suites [18]. Smith et al. [58] have thoroughly investigated this issue and found that *overfitted* patches are common: These patches can make the patched program pass all the available test cases, but they are not *correct*. Consequently, those incorrect patches might not be accepted by developers for further maintenance.

To address the problem of patch correctness in APR, our work focuses on how to generate better patches rather than adding more test cases. In the community, two research directions are being investigated. The first direction attempts to develop techniques for automatically augmenting the test suites [74]. The second one focuses on improving the patch generation process to reduce the probability of generating nonsensical patches [16, 21, 68].

Mining fix templates from common patches in the wild is a promising approach to achieve patch correctness. As first introduced by Kim et al. [18], patch correctness can be improved by leveraging fix templates learned from human-written patches. In their work, the template construction was performed manually, which is a limiting factor and is further error-prone [48]. Since then, several approaches have been developed towards automating the inference of fix patterns from fix changes in developer code bases [16, 28, 35, 44, 61]. For example, Liu et al. [27] and Rolim et al. [54] proposed mining fix patterns from the patches of fixing static analysis violations. They first leveraged the static analysis tools to analyze each commit version of a program and compared the differences of detected static violations between two connected commit versions to identify which violations are fixed. The related patches for the fixed static violations are identified with the sophisticated algorithm (e.g., Avgustinov et al. [2]) and are further parsed into code change diffs¹ at the abstract

<sup>&</sup>lt;sup>1</sup>A "code change diff" consists of two code snapshots. One snapshot represents the code fragment that will be affected by a code change, while the other one represents the code fragment after it has been affected by the code change.

syntax tree level with GumTree [7], a code change distilling tool. Such diffs are then used to infer and mine the corresponding fix patterns with deep learning techniques. Note that a key challenging step in the inference of patterns, however, is the identification and collection of a substantial set of relevant bug fix changes to construct the learning dataset. Patterns must further be precise and diverse to guarantee repair effectiveness [31].

There have been approaches to mining fix patterns and exploring the challenges in achieving the diversity and reliability of fix ingredients, but those approaches still have limitations. Long et al. [35] have relied on only three simple bug types, while Koyuncu et al. [21] have focused on bug linking between bug tracking systems and source code management systems to identify possible bug fixes. Unfortunately, the former approach cannot find patterns to address a variety of bugs, while the latter may include patterns that are irrelevant to bug fixes, since developer changes are not atomic [12]. It is thus challenging to extract useful and reliable patterns focusing on fix changes.

Our work proposes a new direction for pattern-based APR to overcome the limitations in finding reliable and diverse fix ingredients. Concretely, we focus on fix patterns inferred from developer patches of fixing static analysis violations that are detected by static analysis tools and are referred to as warnings, alerts, or alarms (cf., Section 2.2). The advantages of this approach are: (1) the availability of toolsets for assessing whether a code change is actually a fix [2, 11] and (2) the ability to further pre-categorize the changes into groups targeting specific violations, leading to consistent fix patterns [27, 54].

Although static analysis violations (e.g., FindBugs<sup>2</sup> warnings) may appear irrelevant to the problem of *semantic* bug fixing, there are two findings in the literature, which can support our intuition of leveraging fix patterns from static analysis violation patches to address semantic bugs:

- Locations of **semantic bugs** (unveiled through dynamic execution of test cases) can sometimes be detected by static analysis tools. In a recent study, Habib et al. [10], have found that some bugs in the Defects4J dataset can be identified by static analysis tools: SpotBugs,<sup>3</sup> Infer,<sup>4</sup> and ErrorProne.<sup>5</sup> Other studies [8, 47, 73] have also suggested that violations reported by static analysis tools might be smells of more severe defects in software programs.
- Violation fix patterns have been used to successfully fix bugs in the wild. In preliminary live studies, Liu et al. [27] and Rolim et al. [54] have shown that they can systematically fix statically detected bugs by using some of their previously learned fix patterns. They further showed that project developers are eager to integrate the systematization of such fixes based on the mined patterns.

Fix patterns of static analysis violations have been explored in the literature to automate patch generation for fixing statically detected bugs. To the best of our knowledge, our work is the first attempt to leverage fine-grained fix patterns of static analysis violations as fix ingredients for automated program repair that addresses semantic bugs revealed by test cases.

This article makes the following contributions:

(1) We propose Avatar (static <u>A</u>nalysis <u>ViolA</u>tion fix pa<u>T</u>tern-based <u>A</u>utomated program <u>R</u>epair), a fix pattern-based approach to automated program repair. Our approach differs from related work in the dataset of developer patches that is leveraged to extract fix

<sup>&</sup>lt;sup>2</sup>http://findbugs.sourceforge.net.

<sup>&</sup>lt;sup>3</sup>https://spotbugs.github.io.

<sup>&</sup>lt;sup>4</sup>https://fbinfer.com.

<sup>&</sup>lt;sup>5</sup>https://errorprone.info.

96:4 K. Liu et al.

ingredients. We build on patterns extracted from patches that have been verified (with bug detection tools) as true bug fix patches. Given the redundancy of bug types detected by static analysis tools, the associated fixes are intuitively more similar, leading to the inference of reliable common fix patterns. AVATAR implements 28 fix patterns for 18 static violation types and is publicly available at: https://github.com/mrdrivingduck/AVATAR.

- (2) We explore the feasibility of repairing semantic bugs with the fix patterns of static analysis violations. We first apply Avatar configured with the perfect fault localization to semantic bugs in four Java program defect benchmarks to assess how many semantic bugs can be fixed with fix patterns extracted from common code changes fixing static analysis violations. Eventually, Avatar generates correct patches for 51 bugs, 11 bugs, 2 bugs, and 8 bugs in Defects4J, Bugs.jar, BEARS, and QuixBugs, respectively. It indicates that the fix patterns of static analysis violations can be used to fix semantic bugs. Then, we investigate how effectively Avatar can fix semantic bugs that appear to be localizable by static analysis tools. The experiments show that Avatar is capable of correctly fixing 7 bugs that can be detected by static analysis tools. It presents the possibility of integrating Avatar with static analysis tools to solve program bugs.
- (3) We systematically investigate why the fix patterns of static analysis violations can be used to fix semantic bugs. We dissect the bugs fixed by AVATAR into eight categories in terms of the related violation type and analyze the relationship between the behavior of the fix pattern and the issue of each bug. On the one hand, we observe that some semantic bugs are indeed caused by the same problem as the static violations. On the other hand, the fix patterns for static violations can be used to resolve the semantic bugs of which issues are different from the static violations, since they are fixed in the same way of changing code. Additionally, AVATAR is capable of correctly fixing semantic bugs in a way that is different from but semantically similar to the code-modifying method provided by developers in the ground-truth patches.
- (4) We compare the bug-fixing performance of AVATAR against the program repair tools under different fault localization settings. We compare our approach with the state-of-the-art based on different evaluation aspects, including the number of fixed bugs, the exclusivity of fixed bugs, patch correctness, and so on. When configured with the perfect fault localization, AVATAR can generate correct patches for 72 bugs, which outperforms the state-of-the-art deep learning-based program repair tool CoCoNut [39]. When the buggy method can be correctly localized, AVATAR can generate correct patches for 14 bugs that HDRepair, JAID, and SketchFix cannot fix. In the normal APR scenario, AVATAR can fix 82 bugs in Defects4J; 26 of them are fixed with correct patches, which is comparable to the state-of-the-art program repair tools.
- (5) We assess to what extent the bug-fixing performance of AVATAR could be biased by the different fault localization techniques. We investigate the bug-fixing performance of AVATAR in terms of the number of fixed bugs and the efficiency in terms of counting the number of generated patch candidates. AVATAR configured with GZoltar-0.1.1 setting fixes more bugs than it configured with GZoltar-1.7.2, but the former will generate more nonsensical patches than the latter; we conclude that a tradeoff between the number of fixed bugs and the efficiency of generating patch candidates should be well maintained when selecting the adequate fault localization for AVATAR, since different fault localization tools will report different suspicious statements to expose the bug positions.
- (6) We investigate the possibility of using the stack trace information to improve the bug-fixing performance of AVATAR. When using JUnit, stack traces are available for the ordinary failing-executed test case(s), as developers often check test verdict by using

assertions (e.g., assertTrue()) that produce errors with stack traces if the test condition is not satisfied. Our experimental results uncover that the information in stack traces produced by bug-triggering test cases can be used to improve the bug-fixing performance of AVATAR on fixing more bugs with less nonsensical patches.

#### 2 BACKGROUND

In this section, we provide the background information on the general pattern-based APR as well as on the pattern inference from the static analysis violation data.

# 2.1 Automated Program Repair with Fix Patterns

Pattern-based APR has been widely explored in the literature [18, 24, 29, 31, 35, 38, 56, 60]. The basic idea is to represent common code changes into a *pattern* (interchangeably referred to as a *template*) that can be applied to faulty code (i.e., patching). The fixing process consists of leveraging context information of faulty code (e.g., **abstract syntax tree (AST)** nodes) to match context constraints defined in a given fix pattern. For example, the fix template "Method Replacer" provided in PAR [18] is presented as:

where the faulty method call method1 is replaced by another method call method2 with compatible parameters and return type. A method call is the context information for this template to match the buggy code fragment. Thus, this template can be applied to any faulty statement that includes at least one method call expression. The template further guides the patch candidate generation where changes are proposed to replace the potentially faulty method call with another method call.

Mining fix patterns has some intrinsic issues. The first issue relates to the variety of patterns that must be identified to support the fixing of different bug types. There are three strategies in fix pattern mining: (1) manual design, (2) automatic mining, and (3) code change statistics. The first strategy can effectively create precise fix patterns. Unfortunately, it requires human effort, which can be prohibitive [18]. The second one infers common modification rules [35] or searches for the most redundant sub-patch instance [16, 21]. The last one selects the top-n most frequent code change instructions (at the abstract syntax tree level) as fix patterns [16, 24, 63], but it relies on the quality of bug-fixing commits collected from the maintaining history of programs. While the latter two strategies can substantially increase the number of fix patterns, it is subject to noisy input data due to *tangled changes* [12], which make the inferred patterns less relevant. The second issue relates to the granularity (i.e., the degree of abstraction). Coarse-grained and monolithic patterns [51] can cover many types of bugs but they may not be actionable in APR. A fine-grained or micro pattern [35] can be readily actionable but cannot cover many defects.

#### 2.2 Static Analysis Violations

Static analysis tools help developers check for common programming errors in software systems. The targeted errors include syntactic defects, security vulnerabilities, performance issues, and bad programming practices. These tools are classified as "static," because they do not require dynamic execution traces to find bugs. Instead, they are directly applied to source code or bytecode. In contrast to dynamic analysis tools, which must run test cases, static tools can cover more paths, although it makes over-approximations that make them prone to false positives.

Many software projects rigorously integrate static analysis tools into their development cycles. The Linux kernel development project is such an example project where developers systematically run static analyzers against their code before pushing it to maintainers repositories [20]. More

96:6 K. Liu et al.

```
// Violation Type (SpotBugs):
// BC_EQUALS_METHOD_SHOULD_WORK_FOR_ALL_OBJECTS
// Equals method should not assume anything about the type of its argument.

public boolean equals(Object obj) {
- return getModule().equals(((ModuleWrapper) obj).getModule());
+ return obj instanceof ModuleWrapper && getModule().equals(((ModuleWrapper)obj).getModule());
}
```

Fig. 1. Example patch excerpted from Reference [27] for fixing a violation detected by FindBugs.

generally, FindBugs, PMD,<sup>6</sup> and Google Error-Prone are often used in Java projects, while C/C++ projects tend to adopt Splint,<sup>7</sup> cppcheck,<sup>8</sup> and Clang Static Analyzer.<sup>9</sup>

Static analysis tools raise *warnings*, which are also referred to as *alerts*, *alarms*, or *violations*. Given that these warnings are due to the detection of code fragments that do not comply with some analysis rules, in the remainder of this article, we refer to the issues reported by static analysis tools as *violations*. Figure 1 excerpted from Reference [27] shows an example patch for a violation detected by FindBugs. This violation (the code starting with "-") is reported, because the equals method should work for all object types (i.e., Object): In this case, the method code violates the rule, since it assumes a specific type (i.e., ModuleWrapper).

Note that not all violations are accepted by developers as actual defects. Since static analysis tools use limited information, detected violations could be correct code (i.e., false positive) or the warning may be irrelevant (e.g., cannot occur at runtime or not a serious issue). In the literature, many studies assume that a violation can be classified as actionable if it is discarded after a developer changed the location where the violation is detected. The violation in Figure 1 is fixed by adding an instanceof check (cf., the code starting with "+" in the patch diff); this violation can thus be regarded as actionable, since this violation is gone after fixing its source code.

**Intuition**. Mining patterns from developer patches that fix static analysis violations may help overcome the issues of fix pattern mining described in Section 2.1. First, since static analysis tools specify the type of each violation (e.g., bug descriptions<sup>10</sup> of FindBugs), each bug instance is already classified as long as it is fixed by code changes. Thus, we can reduce the manual effort to collect and classify bugs and their corresponding patches for fix pattern mining. Second, it is able to mitigate the issue of tangled changes [12], because violation-fixing changes can be localized and isolated by static analysis tools [2]. In addition, the granularity of fix patterns can be appropriately adjusted for each violation type, since static analysis tools often provide information on the scope of each violation instance.

## 3 MINING FIX PATTERNS FOR STATIC VIOLATIONS

Mining fix patterns for static analysis violations has been explored in the literature [27, 54]. The general objective so far, however, is to learn quick fixes for speeding maintenance tasks and towards understanding which violations are prioritized by developers for fixing. To the best of our knowledge, our work is the first reported attempt to investigate fix patterns of static analysis violations in the context of automated program repair (where patches are generated and validated systematically with developer test cases).

<sup>&</sup>lt;sup>6</sup>https://pmd.github.io.

<sup>&</sup>lt;sup>7</sup>https://www.splint.org.

<sup>&</sup>lt;sup>8</sup>http://cppcheck.sourceforge.net.

 $<sup>^9 \</sup>rm https://clang-analyzer.llvm.org.$ 

 $<sup>^{10}</sup> http://findbugs.sourceforge.net/bugDescriptions.html.\\$ 

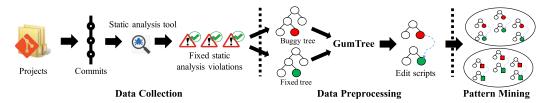


Fig. 2. Summarized steps of static analysis violation fix pattern mining.

There have been two recent studies of mining fix patterns addressing static analysis violations. Our previous study [27] focuses on identifying fix patterns for FindBugs violations [13], while Rolim et al. [54] consider PMD violations. 11 Both approaches, which were developed concurrently, leverage a similar methodology in the inference process. We summarize below the process of fix pattern mining of static analysis violations into three basic steps (as shown in Figure 2): data collection, data preprocessing, and fix pattern mining. Implementation details are strictly based on the approach of our previous study [27].

#### 3.1 Data Collection

The objective of this step is to collect patches that are relevant to static analysis violations that are detected by the static analysis tool, FindBugs [13]. This step is done in the wild based on the commit history of open-source projects by implementing a strict strategy to limit the dataset of changes to those that are relevant in the context of static analysis violations. To that end, it is necessary to systematically run static bug detection tools for each and every revision of the programs. This process can be resource-intensive; for example, FindBugs takes as input compiled versions of Java classes, requiring to build thousands of project revisions.

This step collects code changes (i.e., patches) only if they are identified as violation-fixing changes. For a given violation instance, we can assume that a change commit is a (candidate) fix for the instance when it disappears after the commit; i.e., the violation instance is identified in a revision of a program but is no longer identified in the consecutive revision. Then, it is necessary to figure out whether the change actually fixed the violation instance or it just disappears by coincidence. If the affected code lines are located within the code change diff of the commit, then it is regarded as an actual fix for the given violation instance. Otherwise, the violation instance might be removed just by deleting a method, class, or even a file. Eventually, all code change diffs associated with the identified fixed violation instances are collected to form the input data for fix pattern mining. We refer the reader to more details in Reference [27].

## 3.2 Data Preprocessing

Once violation patches are collected, they are processed to extract concrete change actions. Patches submitted to program repositories are presented in the form of line-based GNU diffs, where changes are reported in a text-based format of edit script. Given that, in modern programming languages, such as Java, source code lines do not represent a semantic entity of a code entity (e.g., a statement may span across several lines), it is challenging to directly mine fix patterns from GNU diffs.

Pattern-mining studies leverage edit scripts of program **Abstract Syntax Trees (ASTs)**. Concretely, the buggy version (i.e., program revision file where the violation can be found) and the fixed version (i.e., consecutive program revision file where the violation does not appear) are given as inputs to the GumTree [7], an AST-based code differencing tool, to produce the relevant AST

<sup>&</sup>lt;sup>11</sup>https://pmdapplied.thomasleecopeland.com.

96:8 K. Liu et al.

Fig. 3. Patch of the bug Closure-31<sup>12</sup> in Defects4J.

```
UPD IfStatement@@"if statement code"
---UPD InfixExpression@@"infix-expression code"
-----DEL PrefixExpression@@"!options.skipAllPasses"
-----DEL Operator@@"&&"
```

Fig. 4. AST edit scripts produced by GumTree for the patch in Figure 3.

edit script. This edit script describes the repair actions that are implemented in the patch in a fine-grained manner. Figure 3 provides an example GNU Diff for a bug fix patch, and Figure 4 illustrates the associated AST edit scripts.

## 3.3 Fix Pattern Mining

Given a set of edit scripts, the objective of the pattern mining step is to group "similar" scripts to infer a common subset of edit actions, i.e., a consistent pattern across the group. To that end, Rolim et al. [54] rely on the greedy algorithm to compute the distance among edit scripts. Edit scripts with low distances among them are grouped together. Our previous study [27], however, leverages a deep representation learning framework (namely, CNNs [45]) to learn features of edit scripts, which are then used to find clusters of similar edit scripts. Clustering is performed based on the X-means algorithm. Finally, the largest common subset of edit actions among all edit scripts in a cluster is considered as a pattern.

Mined fix patterns with this approach have already been proven useful by the authors. For example, our previous study [27] and Rolim's work [54] conducted live studies by making pull requests to projects in the wild: The pull requests contained change details of a patch that is generated based on the inferred fix patterns to fix static analysis violations in developer code. Developers accepted to merge 67 out of 116 patches generated for FindBugs violations in our previous study [27]. Similarly, 6 out of 16 pull requests by Rolim et al. [54] have been merged by developers in the wild. Such promising results demonstrated the possibility to automatically fix bugs that are addressed by static bug detection tools.

#### 4 OUR APPROACH

As shown in Figure 5, Avatar consists of four major steps for automated program repair: fault localization, fix pattern matching, patch generation, and patch validation. In this section, we detail the objective and design of each step and provide concrete information on implementation.

# 4.1 Fault Localization

We rely on the GZoltar<sup>13</sup> [3] framework to automate the execution of test cases for each program. In the framework, we leverage the Ochiai [1] ranking metric to actually compute the suspiciousness

<sup>&</sup>lt;sup>12</sup>http://program-repair.org/defects4j-dissection/#!/bug/Closure/31.

<sup>&</sup>lt;sup>13</sup>http://www.gzoltar.com.

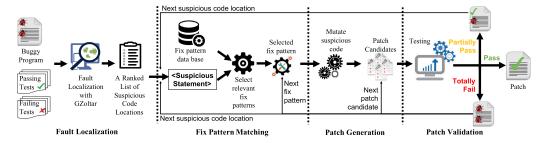


Fig. 5. Overview bug-fixing process with AVATAR.

Table 1. Statistics on Fix Patterns of Static Analysis Violations

|                   | # Projects | # violation fix patches | # violation types | # fix patterns |
|-------------------|------------|-------------------------|-------------------|----------------|
| Liu et al. [27]   | 730        | 88,927                  | 111               | 174            |
| Rolim et al. [54] | 9          | 288,899                 | 9                 | 9              |

scores of statements that are likely to be the faulty code locations. This ranking metric has been demonstrated in several empirical studies [52, 59, 65, 71] to be effective for localizing faults in object-oriented programs. The GZoltar framework for fault localization is also widely used in the literature of APR [16, 21, 31, 32, 42, 63, 66, 68, 70], allowing a fair assessment for AVATAR's performance against the state-of-the-art APR tools.

## 4.2 Fix Pattern Matching

In the execution of the repair pipeline, once fault localization produces a list of suspicious code locations, Avatar iteratively attempts to match each of these locations with a given pattern from the database of fix patterns. As illustrated in Algorithm 1, each suspicious statement is parsed in terms of AST to extract its context that is used to select the adequate fix pattern. With the selected fix pattern, if a valid patch that can make the patched program pass all tests [34] is generated by Avatar for the suspicious statement, Avatar will be terminated (cf., lines 7 and 9). Otherwise, Avatar keeps matching adequate fix patterns for other suspicious statements (cf., line 15) until a valid patch is generated or all suspicious statements are trialed. To cease the process of patch generation when a valid patch cannot be generated, in case of the endless process of fixing bugs, Avatar will be stopped when the quantity of generated patches exceeds the maximum patch generation number of Avatar for one program (i.e., 5,000 patch candidates) by considering the bias of bug-fixing performance and efficiency from the fault localization (e.g., top-k suspicious statements reported by fault localization tools).

Additionally, a bug could have multiple buggy positions [57] located in single or several code files, such as the Defects4J bug *Chart-19* shown in Figure 6. To fix such kind of bugs, AVATAR considers each buggy position as a single bug to match a fix pattern for it. If a patch can make the buggy program pass some previously failed test without generating new failed test cases, then it is considered as a partially valid patch for the buggy program and AVATAR keeps matching adequate fix patterns for other suspicious statements (cf., lines 10, 12, and 13) based on this partially valid patch.

Fix patterns in our database are collected from the artifacts released by Liu et al. [27] and Rolim et al. [54]. Table 1 shows statistics about the pattern collection in these previous works. As most of the fix patterns released by Liu et al. [27] will not change the program behavior, we only select 28 of them (released by Liu et al. [27]) for 18 violation types after manually checking that they can change the program behavior (details shown in the aforementioned website).

96:10 K. Liu et al.

```
--- a/source/org/jfree/chart/plot/CategoryPlot.java
+++ b/source/org/jfree/chart/plot/CategoryPlot.java
@@ -695,7 +695,10 @@ public class CategoryPlot extends Plot implements ValueAxisPlot,
     * @since 1.0.3
     */
     public int getDomainAxisIndex(CategoryAxis axis) {
       if (axis == null) {
            throw new IllegalArgumentException("Null 'axis' argument.");
        return this.domainAxes.indexOf(axis);
     /**
@@ -970,7 +970,10 @@ public class CategoryPlot extends Plot implements ValueAxisPlot,
     * @since 1.0.7
     public int getRangeAxisIndex(ValueAxis axis) {
        if (axis == null) {
             throw new IllegalArgumentException("Null 'axis' argument.");
    int result = this.rangeAxes.indexOf(axis);
         if (result < 0) { // try the parent plot</pre>
            Plot parent = getParent();
             if (parent instanceof CategoryPlot) {
Failing Test:
org.jfree.chart.plot.junit.CategoryPlotTests:testGetDomainAxisIndex
org.jfree.chart.plot.junit.CategoryPlotTests:testGetRangeAxisIndex
```

Fig. 6. Patch of bug Chart-19 by fixing two buggy positions.

```
// Fix Pattern: Remove a useless sub-predicate expression.

UPD IfStatement
---UPD InfixExpression@expA Operator expB
-----DEL Expression@expB
-----DEL Operator
```

Fig. 7. A fix pattern for UC\_USELESS\_CONDITION<sup>14</sup> violation [27].

Recall that each pattern is an edit script of repair actions on specific AST node types. AST nodes associated with the faulty code locations are then regarded as the *context* of matching the fixing patterns; i.e., these nodes are checked against the nodes involved in the edit scripts of fix patterns. For example, the fix pattern shown in Figure 7 contains three levels of contexts: (1) IfStatement<sup>15</sup> means that the pattern is matched only if the suspicious faulty statement is an IfStatement; (2) InfixExpression<sup>16</sup> indicates that the pattern is relevant when the predicate expression of the suspicious IfStatement is an InfixExpression; (3) the matched InfixExpression predicate in the suspicious statement must contain at least two sub-predicate expressions.

A pattern is found to be relevant to a faulty code location only if all AST node contexts at this location matches with the AST node of the pattern. For example, the bug shown in Figure 3 is located within an IfStatement with an InfixExpression that is formed by three subpredicate expressions. This buggy fragment thus matches the fix pattern shown in Figure 7.

<sup>&</sup>lt;sup>14</sup>The condition has no effect and always produces the same result as the value of the involved variable was narrowed before. Probably something else was meant or condition can be removed.

 $<sup>^{15}</sup> https://help.eclipse.org/neon/index.jsp?topic=/org.eclipse.jdt.doc.isv/reference/api/org/eclipse/jdt/core/dom/lfStatement.html.$ 

 $<sup>^{16}</sup> https://help.eclipse.org/neon/index.jsp?topic=/org.eclipse.jdt.doc.isv/reference/api/org/eclipse/jdt/core/dom/InfixExpression.html.$ 

#### ALGORITHM 1: Fix pattern matching and patch generation.

```
Input
            : prog, a buggy program.
   Input
            : S, a set of suspicious statements.
   Input
            : FP, a set of pre-defined fix patterns.
   Output : patch, a valid patch or null.
1 Function main (s,FP)
       patch := null ;
                                                                              /* Initialize the patch as null */
        foreach s \in S do
3
            /\star Generate a patch by fixing statement s\,.
                                                                                                                         * /
4
            patch \leftarrow fixStatement(s, FP);
5
            if patch != null then
                  \textbf{if} \; \texttt{isValidPatch} \, (patch) \, \textbf{then} \\
                      /* A valid patch is generated by fixing statement s, and Avatar stops.
8
                      return patch;
                 else
10
                      /\star A partially valid patch is generated by fixing statement s. Patching the
11
                          buggy program prog with patch.
                      prog \leftarrow patch;
12
                      next s:
             else
14
                 next s:
15
  /\star Match fix pattern and generate patches for the suspicious statement s.
17 Function fixStatement(s, FP)
        /* Parse the suspicious statement s.
        s.contextAST \leftarrow parseStatementIntoAST(s);
19
       \mathbf{foreach}\, f\, p \in FP \; \mathbf{do}
20
            if matchFixPattern (s.contextAST, fp.contextAST) then
21
                 patches \leftarrow generatePatches(s, fp);
22
                 foreach p \in patches do
23
                      if isValidPatch (p) or isPartiallyValidPatch (p) then
24
                       return patch \leftarrow p;
25
                      else
26
                       next p;
27
```

#### 4.3 Patch Generation

Given a suspicious statement and an associated matching fix pattern, AVATAR applies the repair actions in the edit scripts of the pattern to generate patch candidates (cf., line 22 in Algorithm 1). For example, the code change action of the fix pattern in Figure 7 is interpreted as removing a subcondition expression (or sub-predicate expression) in a faulty IfStatement. Thus, three patch candidates, as shown in Figure 8, can be generated by AVATAR for the buggy code in Figure 3, since the statement has three candidate sub-predicates expressions.

## 4.4 Patch Validation

Patch candidates generated by AVATAR must be systematically assessed. Eventually, using test cases, our approach verifies whether a patch candidate is a *valid* patch or not. We target two types of valid patches:

- *Fully-fixing* patches, which are patches that make the program pass all available test cases. Once such a patch is validated, the execution iterations of AVATAR are halted.
- *Partially-fixing* patches, which are patches that make the program pass not only all previously passing test cases, but also part of the previously failing test cases.

The first generated *fully-fixing* patch is prioritized over any other generated patch and is considered as the valid patch for the given bug. After iterating over all suspicious statements with

96:12 K. Liu et al.

Fig. 8. Patch candidates generated by AVATAR with a fix pattern that is mined from patches for UC\_USELESS \_CONDITION violations (cf., Figure 7) and which matches the buggy statement of bug *Closure-13* (cf., Figure 3).

Fig. 9. Defects4| bug Math-67 fixed with two buggy positions.

org.apache.commons.math.optimization.MultiStartUnivariateRealOptimizerTest:testQuinticMin

all matching fix patterns, if AVATAR fails to generate a *fully-fixing* patch for a bug but generates some *partially-fixing* patches, then these patches are considered as valid patches. Finally, the correctness of all valid patches is further manually and systematically assessed by following the protocol presented by Liu et al. [34]: (1) *identical patches*, the two patches are exactly identical, excluding variations in whitespace, layout, and comments; and (2) *semantically similar patches*, the patches are not identical, but developers regard that they have the same effect on the program behavior.

## 4.5 Fixing Bugs with Multiple Buggy Positions

A bug could involve multiple buggy positions, and such bugs can be summarized into two categories with their bug-triggering test cases: (1) the multiple buggy positions are triggered by the same test cases, e.g., the Defects4J bug <code>Math-67</code> shown in Figure 9 with two buggy positions that are triggered by the same test case testQuinticmin, and (2) the multiple buggy positions are triggered by the independent test cases, respectively, e.g., the Defects4J bug <code>Chart-19</code> shown in Figure 6 with two buggy positions that are triggered with the test cases testGetDomainAxisIndes and testGetRangeAxisIndex, respectively.

When AVATAR fixes the bug with multiple buggy positions, it relies on the independent bug-triggering test cases. For example, a bug  $b=b_1$ ,  $b_2$ , where  $b_1$  and  $b_2$  are two buggy positions of the bug b, which can be triggered by two independent test cases  $t_1$  and  $t_2$ , respectively. When AVATAR fixes the bug b, it first generates the patch  $p_1$  for  $b_1$  if  $p_1$  can make the patched bug b pass the execution of test case  $t_1$  and previously successfully executed test cases. Then AVATAR will generate the patch  $p_2$  for  $p_2$  in the same way. So, with this mechanism, AVATAR is capable of fixing bugs with multiple buggy positions triggered by the independent test cases, respectively, but cannot solve bugs with multiple buggy positions failing to execute the same test cases, e.g., the bug Math-67 shown in Figure 9.

#### 5 SETUP FOR ASSESSMENT

# 5.1 Research Questions

Our investigation into the repair performance of AVATAR seeks to answer the following **research questions** (**RQs**):

- RQ1: How effective are fix patterns of static analysis violations for repairing programs with semantic bugs? Recall that we broadly consider as semantic bugs all bugs that are uncovered by executing developer test cases. Our first research question assesses how many benchmark bugs can be fixed with fix patterns extracted from common code changes fixing static analysis violations. To that end, (1) we first investigate whether AVATAR can generate a correct patch (i.e., a valid patch fixes the related bug as expected by its developers) to fix a semantic bug when AVATAR is configured with the perfect fault localization (i.e., the developer-provided fix location) to avoid the negative impact of the incorrect fault localization. Then, (2) we investigate how effectively AVATAR can fix such semantic bugs that appear to be localizable by static analysis tools to explore the possibility of integrating AVATAR with static analysis tools to solve program bugs.
- RQ2: Which bugs and which patterns are effective targets of AVATAR in an automated program repair scenario? This research question dissects the data yielded during the investigation of RQ1, with the objective of assessing the diversity of bugs that can be fixed as well as the types of violation fix patterns that have been successfully leveraged.
- **RQ3**: How does AVATAR compare to the state-of-the-art with respect to repair performance? With this research question, we aim at showing whether the proposed approach is effective in the landscape of APR systems. Does AVATAR offer comparable performance? To what extent can AVATAR complement existing APR systems?
- RQ4: To what extent could the bug-fixing performance and efficiency of AVATAR be impacted by different fault localization techniques? As reported by Liu et al. [29], the fault localization configuration in APR tools could impact the bug-fixing performance of ARP tools. This research question is to investigate the impact of the different fault localization techniques in the normal program repair pipeline.
- RQ5: Could the stack trace information be used to accelerate the bug-fixing performance of Avatar? In Java programs using JUnit, normal failing test cases always result in crashes, since JUnit assertions produce exceptions if its condition is not satisfied. The failing execution of some test cases can lead to crashes with exceptions being thrown, where crashed statements will be enumerated in the corresponding stack trace. When developers fix such bugs manually, they will first attempt those crashed statements before others. Therefore, this research question aims to explore the possibility of improving the bug-fixing performance of Avatar with the stack trace information from failed executions of test cases.

96:14 K. Liu et al.

| Projects         |   | Bugs |         | Projects              |       |
|------------------|---|------|---------|-----------------------|-------|
|                  | JFreeChart (Chart)                          | 26   | Jsoup   |                       | 93    |
|                  | Apache commons-cli (Cli)                    | 39   | Mockito |                       | 38    |
|                  | Closure compiler (Closure)                  | 174  | Joc     | la-Time (Time)        | 26    |
|                  | Apache commons-codec (Codec)                | 18   |         | Apache Accumulo       | 98    |
|                  | Apache commons-collections (Collections)    | 4    |         | Apache Camel          | 147   |
|                  | Apache commons-compress (Compress)          | 47   | l "     | Apache Commons-math   | 147   |
| 0.               | Apache commons-csv (Csv)                    | 16   | ugs.jar | Apache Flink          | 70    |
| 2.0              | Google Gson (Gson)                          | 18   | gn      | Apache Jackrabbit Oak | 270   |
| ^-[i             | FastXML jackson-core (JacksonCore)          | 26   | B       | Apache Log4J2         | 81    |
| ts4              | FastXML jackson-databind (JacksonDatabind)  | 112  |         | Apache Maven          | 48    |
| Defects4J-v2.0.0 | FastXML jackson-dataformat-xml (JacksonXml) | 6    |         | Apache Wicket         | 289   |
| Ď                | Apache commons-jxpath (JxPath)              | 22   |         | BEARS                 | 251   |
|                  | Apache commons-lang (Lang)                  | 64   |         | QuixBugs              | 40    |
|                  | Apache commons-math (Math)                  | 106  |         | Total                 | 2,284 |

Table 2. Subjects Used in Our Experiments

## 5.2 Subjects

We evaluate Avatar on Defects4J [17], Bugs.jar [55], QuixBugs [75], and BEARS [40], which have been used by state-of-the-art APR systems targeting Java programs. Table 2 summarizes the statistics on the number of bugs available in version 2.0.0<sup>17</sup> of Defects4J, Bugs.jar, BEARS, and QuixBugs. "**Projects**" and "**Bugs**" denote, respectively, the Java projects the bugs belong to and the number of bugs in each project.

The version 2.0.0 of Defects4J [17] includes 835 bugs from 17 open-source Java projects. These bugs are collected by identifying the bug-fixing commits from the maintaining history of programs, according to leveraging the bug tracking system (e.g., JIRA<sup>18</sup>) and executing test suites on the bug-fixed versions of programs and their related buggy versions. The benchmark has been widely used in the community of automated program repair [33, 34]. Bugs.jar [55] collects 1,158 bugs from eight Apache projects, which is created using the same approach as Defects4J. Its main contribution is that it has more bugs than Defects4J. BEARS [40] contains 251 bugs from 72 different GitHub Java open-source projects, which is built by mining program repositories with the commit building state from Travis Continuous Integration. Compared with the previous two benchmarks, BEARS has a larger diversity of projects. QuixBugs [75] contains 40 single-line bugs from 40 programs. Each program implements one well-known algorithm, such as Quicksort. It is a benchmark in the lab, different from the previous three benchmarks collecting bugs from real-world programs.

#### 5.3 Experimental Setup

**Computing Environment:** All our experiments are performed on a PC running Ubuntu 18.04 LTS with Intel Core i5-9400 2.90 GHz CPU (six cores) and 32 GB RAM, and a laptop running Ubuntu 20.04 LTS with Intel Core i7-7700HQ 2.80 GHz CPU (eight cores) and 16 GB RAM.

**Fault Localization:** For evaluation purposes, we apply different fault localization settings to the experiment of each research question, while the default setting of AVATAR is to use the GZoltar framework with the Ochiai ranking metric. The usage of GZoltar and Ochiai reduces the comparison biases, since both are widely used by APR systems in the literature.

<sup>&</sup>lt;sup>17</sup>https://github.com/rjust/defects4j.

<sup>&</sup>lt;sup>18</sup>https://www.atlassian.com/software/jira.

- First, we apply AVATAR configured with the perfect fault localization setting to the semantic bugs in four benchmarks (for RQ1 in Section 6.1). This configuration is to reduce the bias given by fault localization [29] and to assess the effectiveness of fixing semantic bugs with the fix patterns in AVATAR.
- Second, we apply AVATAR to bugs in the benchmarks with the location information of static analysis violations detected by three state-of-the-art static analysis tools (namely, SpotBugs, Facebook Infer, and Google ErrorProne) to answer RQ1 in Section 6.1. To that end, we consider the bugs detected by the four static analysis tools that are reported by Habib and Pradel [10]. This configuration focuses on the effectiveness of AVATAR on such semantic bugs that can also be detected statically.
- Third, for RQ3 and RQ4, we compare Avatar with the state-of-the-art APR tools that are evaluated on the Defects4J benchmark (see Section 6.3). To that end, we attempt to replicate two scenarios of fault localization used in APR assessments: The first scenario assumes that the faulty method name is known [24] and thus focuses on ranking the inner-statements based on Ochiai suspiciousness scores; the second scenario makes no assumption on fault location and thus uses the default setting of Avatar.
- Finally, in RQ5, we propose two principles with the stack trace information to refine the fault localization results of GZoltar for AVATAR, then to investigate the possibility of improving bug-fixing performance for AVATAR with the stack trace information.

**Timeout:** Note that a generated patch may introduce new defects, e.g., endless loop, making the patch validation process time-consuming. Here, we configure AVATAR to validate each patch at most 5 minutes. If patch validation times out, then AVATAR will stop validating this patch and turn to the next. If a valid patch, which can make the patched buggy program pass all test cases, is generated, then AVATAR will be terminated. Otherwise, AVATAR will be halted when more than 5,000 patch candidates are generated by referencing a recent empirical study for APR efficiency [34].

#### 6 ASSESSMENT

## 6.1 Applying Avatar to Semantic Bugs

In this work, AVATAR is implemented with the fix patterns inferred from the patches of static analysis violations that are identified by static analysis tools without executing any test cases, which are different from the semantic bugs that failed to pass test cases. Before assessing the bug-fixing performance of AVATAR in the normal program repair pipeline (i.e., the bug positions are localized with a specific fault localization technique, cf., Section 6.3.2), we propose to investigate whether the fix patterns inferred from the patches for fixing static analysis violations can be used to fix the semantic bugs that are failing to pass the concrete functional test(s) without the impact from the fault localization [29, 34]. Concretely, we first apply AVATAR, configured with the perfect fault localization assumption, to the bugs in four benchmarks (i.e., Defects4J, Bugs.jar, BEARS, and QuixBugs) released in the community. Then, we further apply AVATAR to the bugs that can be localizable by static analysis tools to explore the possibility of integrating AVATAR with static analysis tools to address program bugs.

**Applying Avatar to Semantic Bugs in Four Benchmarks.** We run Avatar on all bugs in four datasets (i.e., Defects4J, Bugs.jar, BEARS, and QuixBugs). As the objective is to assess whether a correct patch can be generated for the semantic bug with Avatar, it is configured with the perfect fault localization setting in this experiment scenario. Table 3 details the number of bugs in four benchmarks that are fixed by Avatar. Fully and partially fixed bugs are fixed with *fully-fixing* and *partially-fixing* patches (cf., Section 4.4) generated by Avatar, respectively. Overall, Avatar can fix 107 bugs with valid patches, and 72 of them are further manually confirmed as *correct* 

96:16 K. Liu et al.

Table 3. Number of Bugs Fixed by AVATAR and CoCoNut with the Perfect Fault Localization Setting

| Duniant                  | CoCoNut [39] | Avatar           |                      |  |  |
|--------------------------|--------------|------------------|----------------------|--|--|
| Project                  | Coconut [39] | Fully Fixed bugs | Partially fixed bugs |  |  |
| Chart (C)                | 2/4          | 8/9              | 1/3                  |  |  |
| Cli                      | 5/5          | 2/4              | 0/0                  |  |  |
| Closure (Cl)             | 8/10         | 11/14            | 2/5                  |  |  |
| Codec (Co)               | 1/1          | 2/3              | 0/0                  |  |  |
| Compress (Cp)            | 2/2          | 4/7              | 0/1                  |  |  |
| Csv                      | 1/3          | 4/5              | 0/0                  |  |  |
| Gson (G)                 | 1/1          | 1/1              | 1/2                  |  |  |
| JacksonCore (JC)         | 4/4          | 1/2              | 0/0                  |  |  |
| JacksonDatabind          | 4/5          | 0/0              | 0/0                  |  |  |
| Jsoup (J)                | 1/1          | 1/6              | 1/1                  |  |  |
| JxPath (JP)              | 1/1          | 0/1              | 1/1                  |  |  |
| Lang (L)                 | 7/8          | 4/10             | 1/2                  |  |  |
| Math (M)                 | 10/13        | 9/16             | 0/3                  |  |  |
| Mockito (Mc)             | 0/0          | 2/2              | 0/1                  |  |  |
| Time (T)                 | 1/2          | 2/3              | 0/0                  |  |  |
| Defects4J (D4J) Subtotal | 48/60        | 51/83            | 7/19                 |  |  |
| Bugs.jar (Bj)            | _            | 11/14            | 0/1                  |  |  |
| BEARS                    | -            | 2/2              | 1/2                  |  |  |
| QuixBugs (Quix)          | 13/20        | 8/8              | 0/7                  |  |  |
| Total                    | 61/80        | 72/107           | 8/29                 |  |  |

<sup>&</sup>lt;sup>†</sup>In each column, we provide x/y numbers: x is the number of correctly fixed bugs; y is the number of bugs for which a valid patch is generated by the APR tool ("?" for y values in the CoCoNut column stands for "**unknown**," as it is not reported in the corresponding paper [39]). The same applies to the following similar tables.

patches by following the protocol presented by Liu et al. [34]. We also note that, for 29 other bugs, AVATAR generates partially-fixing patches. Eight among these partially-fixing patches are manually found to be correct. Specifically, AVATAR generates correct patches for 5 bugs (i.e., *Chart-14, Chart-19, Math-4, Math-77, Math-98*) with multiple buggy positions, where an example with 4 buggy positions (*Chart-14*) is shown in Figure 10.

We closely investigate the bugs with multiple positions/lines fixed by AVATAR and observe that these bugs can be summarized into two categories: (1) the integration of several simple bugs that can be triggered by independent test cases and (2) the bugs with several buggy lines that can be fixed by directly removing those buggy code lines. It indicates that, although AVATAR is capable of fixing the semantic bugs with multiple buggy positions/lines, its bug-fixing ability is still limited by the inherent shortage of fix patterns that are inferred from the patches for static analysis violations with simple code changes (e.g., fixing the null pointer exception, removing the useless code, and replacing the wrongly used identifier). Fixing complicated bugs is still an open research question for the program repair community.

Although Defects4J has been widely used in the community of automated program repair, AVATAR is the first APR tool evaluated with the latest version of Defects4J (i.e., Defects4J, version 2.0.0), which contains 835 bugs from 17 open-source Java projects. As presented in Table 3, AVATAR can generate patches for 83 bugs from 14 out of 17 projects in the Defects4J benchmark to make the corresponding patched program pass all tests. More specifically, the patches for 51 out of those 83 bugs in 13 out of 14 projects are correct, while the patches for the remaining 32 bugs are

```
--- a/source/org/jfree/chart/plot/CategoryPlot.java
+++ b/source/org/jfree/chart/plot/CategoryPlot.java
@@ -2163,7 +2163,7 @@ public class CategoryPlot extends Plot implements ValueAxisPlot,
            markers = (ArrayList) this.backgroundDomainMarkers.get(new Integer(
                     index));
        boolean removed = markers.remove(marker);
         if (markers == null) { return false; } boolean removed = markers.remove(marker);
         if (removed && notify) {
             fireChangeEvent();
@@ -2445,7 +2445,7 @@ public class CategoryPlot extends Plot implements ValueAxisPlot,
            markers = (ArrayList) this.backgroundRangeMarkers.get(new Integer(
                    index));
        boolean removed = markers.remove(marker);
        if (markers == null) { return false; } boolean removed = markers.remove(marker);
         if (removed && notify) {
            fireChangeEvent();
--- a/source/org/jfree/chart/plot/XYPlot.java
+++ b/source/org/jfree/chart/plot/XYPlot.java
@@ -2290,7 +2290,7 @@ public class XYPlot extends Plot implements ValueAxisPlot, Zoomable,
            markers = (ArrayList) this.backgroundDomainMarkers.get(new Integer(
                    index));
        boolean removed = markers.remove(marker);
         if (markers == null) { return false; } boolean removed = markers.remove(marker);
         if (removed && notify) {
             fireChangeEvent();
@@ -2526,7 +2526,7 @@ public class XYPlot extends Plot implements ValueAxisPlot, Zoomable,
            markers = (ArrayList) this.backgroundRangeMarkers.get(new Integer(
                    index));
        boolean removed = markers.remove(marker);
         if (markers == null) { return false; } boolean removed = markers.remove(marker);
         if (removed && notify) {
            fireChangeEvent();
         }
```

Fig. 10. A single patch fixing four buggy positions of *Chart-14*.

plausible but incorrect. Plausible patches are valid patches that can make the patched programs pass all tests but do not really fix the bugs as expected by their developers.

The Bugs.jar dataset contains 1,158 bugs, but this dataset has not been maintained anymore since February 2018, and the majority of those bugs cannot be compiled successfully, since the related runtime environments are not provided. It leads that AVATAR cannot have trials for all of them, since AVATAR relies on compiled test cases to locate bug positions and validate patches. Consequently, according to the experiments of generating patches for 60 bugs in the Bugs.jar dataset, AVATAR can generate patches for 14 bugs that make those 14 patched programs pass all tests, and 11 of them are correct patches.

For the BEARS dataset, Avatar only correctly fixes 2 out of 251 bugs. After looking at each bug closely, we observe that most bugs in the BEARS dataset involve multiple buggy lines or multiple buggy locations, which require new code fragments for generating patches with more complicated code change actions than the fix patterns in Avatar. Avatar's ability to address bugs with multiple buggy locations is limited to the bugs whose locations are exposed by individual test cases, which is not the case in BEARS. What is more, Avatar is a repairing tool with simple fix patterns for simple code changes and is not good at generating new code fragments with complicated code changes for difficult bugs, so Avatar is short of the knowledge to deal with these complicated bugs. To sum up, the fix patterns inferred from the patches of static analysis violations present

96:18 K. Liu et al.

| Bug ID   | SpotBugs                   | Infer            | ErrorProne          | Avatar's Fixing Pattern     |
|----------|----------------------------|------------------|---------------------|-----------------------------|
| Chart-1  | NP_ALWAYS_NULL             | NULL_DEREFERENCE |                     | NP_ALWAYS_NULL              |
| Chart-4  | NP_NULL_ON_SOME_PATH       | NULL_DEREFERENCE |                     | NP_NULL_ON_SOME_PATH        |
| Chart-8  |                            |                  | ChainingConstructor | DLS_DEAD_LOCAL_STORE        |
| Chart-24 | DLS_DEAD_LOCAL_STORE       |                  | IgnoresParameter    | DLS_DEAD_LOCAL_STORE        |
| Csv-15   |                            |                  | OperatorPrecedence  | UCF_USELESS_CONTROL_FLOW    |
| Math-50  | FE_FLOATING_POINT_EQUALITY |                  |                     | UCF_USELESS_CONTROL_FLOW    |
| Math-77  |                            |                  | MissingOverride     | UPM_UNCALLED_PRIVATE_METHOD |
| Total    | 4/15                       | 2/8              | 3/19                |                             |

Table 4. Statically Detected Bugs Fixed by AVATAR

x/y reads as: x is the number of bugs fixed by Avatar among the y bugs in Defects4J 2.0.0 that can be localized by each static analysis tool. The information of localizable bugs is partially excerpted from Habib and Pradel's study [10] as well as our execution of the three tools.

the potential of fixing semantic bugs, but still are not the feasible prescription for the complicated bugs that are the prime challenge faced by the automated program repair community.

AVATAR correctly fixes 8 of bugs in the QuixBugs benchmark without generating any incorrect patches. QuixBugs is a dataset of 40 in-the-lab bugs in the Java implementations of 40 classic algorithms (e.g., quick-sort, depth-first-search). All of the 8 bugs are single-line bugs that can be easily matched with the corresponding fix patterns to mutate the related buggy operators or variable references.

Overall, comparing the number of bugs fixed by AVATAR and the number of bugs in each project and each benchmark dataset, AVATAR presents overfitting to the evaluation dataset as other APR tools investigated by Durieux [5]. For example, AVATAR correctly fixed 8 out of 26 Chart bugs in Defects4J, but it can only fix one out of 93 Jsoup bugs. However, AVATAR can generate correct patches for 51 out of 835 Defects4J bugs but can only fix 11 out of 1,158 bugs in the Bugs.jar benchmark. Such results indicate that AVATAR also overfits to the benchmark dataset.

RQ1 AVATAR is capable of fixing semantic bugs collected from real-world open-source projects as well as in-the-lab bugs. AVATAR is also able to fix some bugs with multiple buggy positions/lines, but it is limited to simple bugs that are fixed with simple code change actions in a straightforward way. It is a shortage for AVATAR on addressing complicated bugs, since the fix patterns in AVATAR are extracted from the patches of fixing relatively simple static bugs. Fixing complicated bugs and overfitting to benchmark dataset are still open for AVATAR as well as the program repair community.

Applying AVATAR to Statically Detected Bugs in Defects4J. In this study, we refer to statically detected bugs as those whose buggy positions overlap with violation positions reported by static analysis tools. We further apply AVATAR to statically detected bugs in Defects4J to explore the possibility of fixing these bugs with AVATAR. Table 4 provides details of the bugs (in Defects4J) that can be detected by static analysis tools (see Section 5.3) and are successfully repaired by AVATAR in Defects4I.

We claim that out of the 15, 8, and 19 bugs that can be detected, respectively, by SpotBugs (v4.0.1), Facebook Infer (v0.17.0), and Google ErrorProne (Ant 2.3.1) on Defects4J 2.0.0, AVATAR can successfully generate correct patches for 4, 2, and 3 bugs, respectively. Remark that *Math-77* is a bug with two buggy positions, and AVATAR cannot completely fix this bug. AVATAR successfully fixes the single buggy position revealed by ErrorProne, and thus, we classify it as a positive repair here.

Overall, seven distinct localizable bugs have been correctly fixed with patches generated by fix patterns of FindBugs mined from violation-fixing patches in Reference [27]. All seven bugs are related to distinct violation types. Among 15 violations reported by SpotBugs, *Chart-1* (NP\_ALWAYS\_NULL), *Chart-4* (NP\_NULL\_ON\_SOME\_PATH), and *Chart-24* (DLS\_DEAD\_LOCAL\_STORE) are fixed by the corresponding patterns in AVATAR, where *Chart-1* and *Chart-1* 

```
// Defects4J Dissection - Repair Actions:
// Assignment addition,
// Assignment expression modification,
// Method call addition,
// Method call removal,
// Variable type change
// Violation Type (SpotBugs):
// CN_IDIOM_NO_SUPER_CALL
// clone method does not call super.clone().
--- a/source/org/jfree/data/time/TimeSeries.java
+++ b/source/org/ifree/data/time/TimeSeries.java
@@ -854,7 +854,8 @@ public class TimeSeries extends Series implements Cloneable, Serializable
                subclasses may differ.
     */
 public Object clone() throws CloneNotSupportedException {
   Object clone = createCopy(0, getItemCount() - 1);
  TimeSeries clone = (TimeSeries) super.clone();
   clone.data = (List)ObjectUtilities.deepClone(this.data);
   return clone;
```

Fig. 11. The bug (Chart-17) detected by SpotBugs is not fixed by AVATAR, since no fix pattern can be matched.

4 are also warned by Infer's NULL\_DEREFERENCE violation rule. In addition, Chart-8 warned as ChainingConstructorI gnoresParameter, Math-50 warned as FL\_FLOATING\_POINT\_EQUALITY, Csv-15 warned as OperatorPrecedencce, and Math-77 warned as MissingOverride by ErrorProne, are fixed by Avatar's DLS\_DEAD\_LOCAL\_STORE, UCF\_USELESS\_CONTROL\_FLOW and UPM\_UN CALLED\_PRIVATE\_METHOD pattern, respectively. The fix patterns used by Avatar and the corresponding static violations are available in Table 4. Note that Liu et al.'s [27] previous work claimed their mined patterns (for fixing violations detected by FindBugs) could be applied to violations reported by other static analysis tools. Our experiment indeed proves that the patterns fixing two bugs reported by SpotBugs (i.e., the successor of FindBugs) also work with the help of reports by Facebook Infer.

**RQ1** According to fixing bugs detected by static analysis tools, AVATAR demonstrates the effectiveness of violation-fixing patterns in a scenario of automatically repairing developers' code by involving violation positions reported by various static analysis tools.

Our experiment reveals that AVATAR's fix patterns of static analysis violations are not effective on other statically detected bugs. We investigate the cases of such bugs and conclude as follows:

- (1) Since AVATAR implements a limited number of fix patterns, several bugs cannot be matched by any fix pattern. Here, we use *Chart-17*, as an example, detected by SpotBugs as a CN\_IDIOM\_S UPER\_CALL<sup>19</sup> violation, shown in Figure 11. The patch generation provided by developers needs five different repair actions, which cannot be matched with any fix patterns in AVATAR.
- (2) There are also cases where the fixes are truly *domain-specific*, so AVATAR's patterns are too general to scale out. We refer to domain-specific fixes as those that are beyond the repairing ability of AVATAR. For example, some fixes are actually new features or refactoring, which involve adding or moving multiple lines, such as *Math-91* in Figure 12. The real patch overwrites the calculation of "nod" and "don" with the specific values except updating the data type, where AVATAR does not have enough knowledge to fix them.

<sup>&</sup>lt;sup>19</sup>http://findbugs.sourceforge.net/bugDescriptions.html#CN\_IDIOM\_NO\_SUPER\_CALL.

96:20 K. Liu et al.

Fig. 12. The patch for bug Math-91 with over-written feature that cannot be fixed by AVATAR.

```
// Violation Type (ErrorProne):
// OperatorPrecedence
--- a/src/main/java/org/apache/commons/csv/CSVFormat.java
+++ b/src/main/java/org/apache/commons/csv/CSVFormat.java
@@ -1036,7 +1036,7 @@ public final class CSVFormat implements Serializable {
   char c = value.charAt(pos);

   // RFC4180 (https://tools.ietf.org/html/rfc4180) TEXTDATA = %x20-21 / %x23-2B / %x2D-7E
-   if (newRecord && (c < '0' || c > '9' && c < 'A' || c > 'Z' && c < 'a' || c > 'z')) {
    if (newRecord && (c < 0x20 || c > 0x21 && c < 0x23 || c > 0x2B && c < 0x2D || c > 0x7E))
    {
        quote = true;
    } else if (c <= COMMENT) {
        // Some other chars at the start of a value caused the parser to fail, so for now</pre>
```

Fig. 13. The bug *Csv-14* is false-positively identified as a statically detected bug.

(3) In other cases, the violation warned by static analysis tools is actually a coincidental false positive claimed in Reference [10], since the reported violation does not really match the semantically faulty code entity to be modified. Figure 13 provides a descriptive example (*Csv-14*) of such false positives. The violation OperatorPrecedence<sup>20</sup> should be fixed with grouping parentheses to disambiguate expressions that contain both "||" and "&&." However, the real fixing patch is to replace the compiled values for the valuable "c." After the bug *Csv-14* is fixed, the violation OperatorPrecedence still can be detected by Google Error Prone at the same position. There is no relationship between the fixing behavior and the violation type. Therefore, assessing the ability of detecting semantic bugs for static analysis tools, it should consider the detected position as well as the relation between the violation and the behavior of the buggy code.

# 6.2 Dissecting the Fix Ingredients

Bugs in the benchmark Defects4J are collected from the real-world projects that failed to pass the concrete functional test cases, while fix patterns implemented in AVATAR are extracted from common patches for fixing static analysis violations. We thus investigate how fix patterns in AVATAR can be leveraged to address semantic bugs from the benchmarks listed in Table 2. To that end, we resort to dissect the ingredients that were successfully leveraged in the generated correct patches and analyze the similarity between the code change actions of fixing these bugs by developers and the change actions of fix patterns in AVATAR. Table 5 provides the summary of this dissection.

 $<sup>^{20}</sup> https://error prone.info/bugpattern/Operator Precedence.\\$ 

| Violation Types associated with the Fix Patterns | Fix Ingredients from the Violation Fix Patterns                                   | Fixed Bug IDs                        |
|--|---|--------------------------------------|
|  | 1. Wrap buggy code with if-non-null-check block: "if (var != null) {buggy code}"; | C-4,14,19,26,<br>Cli-5, Cl-2, Co-17, |
|  | 2. Insert if-null-check block before buggy code:                                  | Csv-4.5.11.                          |
| NP_NULL_ON_SOME_PATH                             | "if (var == null) {return false;} buggy code;" or                                 | G-6, M-4,                            |
|  | "if (var == null) {return null;} buggy code;"or                                   | Mc-29,38,                            |
|  | "if (var == null) {throw IllegalArgumentException.} buggy code;"                  | Quix-5, BEARS-56.                    |
| -  |   | C-8,11,24, Cp-14,                    |
|  |   | L-6,57,59,                           |
| DIG DELD 10011 00000                             | Replace a variable with other one:  | M-33,59,98, T-7,                     |
| DLS_DEAD_LOCAL_STORE                             | e.g., "var1 = <del>var2</del> var3;"  | Bj-L-16,Bj-O-194,                    |
|  |   | Bj-M-45,63,79,114,                   |
|  |   | Quix-8,11,31.                        |
|  |   | Cl-18,31,38,                         |
|  | 1. Mutate the operator of an expression in an if statement:                       | Cl-62,73,168,                        |
|  | e.g., "if (expA >>= expB) {}";  | Cp-19, JC-25, L-15,                  |
| HG HGDI DGG GONDIDION                            | 2. Remove a sub-predicate expression in an if statement:                          | M-82,85, T-19,                       |
| UC_USELESS_CONDITION                             | "if (expA   expB) {}" or "if (expA   expB) {}";                                   | BEARS-133, 135                       |
|  | 3. Remove the conditional expression:   | Quix-6,14,24,28,                     |
|  | "expA ? expB : expC" or "expA ? expB : expC"                                      | Bj-A-21, Bj-O-183,                   |
|  |   | Bj-M-38,54.                          |
|  | Remove an if statement but keep the code inside its block:                        | C-18, Cli-32,                        |
|  | "if (exp) ( code )";  | Cl-11,106,115,126,138,               |
| UCF_USELESS_CONTROL_FLOW                         | 2. Remove an if statement with its block code:                                    | Co-8, Cp-27,31,                      |
|  | "if (exp) { code }"   | Csv-15, G-4, J-63,68,                |
|  |   | JP-17, L-10, M-50.                   |
| ICAST_IDIV_CAST_TO_DOUBLE                        | Cast the operands of division from integer to double:                             | M-11,                                |
| TCAST_IDIV_CAST_TO_DOUBLE                        | "FastMath.pow(2 * FastMath.PI, -dim ((double) -dim) / 2 2d);"                     | Bj-M-137.                            |
| NP ALWAYS NULL                                   | Mutate the operator of null-check expression:                                     | C-1.                                 |
| MI_MDWAID_NODD                                   | "var = == null," or "var == != null"  |                                      |
| UPM_UNCALLED_PRIVATE_METHOD                      | Remove a method declaration:  | Cl-46.                               |
|  | "Modifier ReutrnType methodName(Parameters) { code }"                             | C1 10.                               |
|  | Wrap buggy code with if-instanceof-check block:                                   |                                      |
| BC_UNCONFIRMED_CAST                              | "if (var instanceof Type) {buggy code}  | M-89.                                |
|  | <pre>else {throw IllegalArgumentException;}"</pre>                                |                                      |

Table 5. Fix Ingredients in the Static Analysis Violation Fix Patterns Used by AVATAR to Correctly Fix Bugs

First, we note that all correctly fixed bugs were addressed with patches generated from patterns mined in the study of Liu et al. [27] (i.e., based on FindBugs violations). Fix patterns from the study by Rolim et al. [54] (which are based on PMD violations) are indeed associated with exceedingly simple violations, which are unlikely to be revealed as semantic bugs (i.e., detected via developer test cases). An example of such simple pattern is their EP7 fix pattern: "replace List<String> a = new ArrayList() with List<String> a = new ArrayList<>()." In any case, six among the nine fix patterns released by Rolim et al. are related to performance, code practice, or code style. Our manual investigation of Defects4J bugs reveals that none of the bugs are associated with these types of issues.

Second, we note that the fix patterns of only 8 (out of 18) violation types<sup>21</sup> have been successfully used to generate correct patches for Defects4J bugs (cf., Table 5). Among the 80 (72 fully and 8 partially) correctly fixed bugs, 75 (93.75%) are fixed by the patterns of 4 violation types: NP\_NULL\_ON\_SOME\_PATH, DLS\_DEAD\_LOCAL\_STORE, UC\_USELESS\_CONDITION, and U CF\_USELESS\_CONTROL\_FLOW. With the description of violations provided in FindBugs and the repair actions of their fix patterns, we then explain why the fix patterns of static analysis violations can address semantic bugs.

The violation NP\_NULL\_ON\_SOME\_PATH is explained as dereferencing a null value when the code is executed. In AVATAR, its fix pattern is to add a null check at the dereferencing location

<sup>†</sup>Only correctly fixed (including partially correctly fixed bugs highlighted with *italic*) bugs are listed in this table. \* "Bj-A," "Bj-L," "Bj-M," and "Bj-O" represent "Accumulo," "Log4J2," "Commons-Math," and "OAK" programs in the Bugs.jar dataset, respectively.

<sup>&</sup>lt;sup>21</sup>Note that comparing with the previously published version of Avatar [30], the newly added fix pattern of only one out of eight violation types has been successfully used to fix one more bug with the correct patch, and other bugs are newly fixed because of the multi-position setting of Avatar and the new version of Defects4J that contains more bugs than the previously published version.

96:22 K. Liu et al.

```
// Violation Type: NP_NULL_ON_SOME_PATH

// Defects4J Dissection:
// Bug Pattern: Missing null check addition.

// Repair Action:
// Adding a conditional null check branch.

--- a/src/java/org/apache/commons/cli/Util.java
+++ b/src/java/org/apache/commons/cli/Util.java
@@ -33,5 +33,5 @@ class Util {
    */
    static String stripLeadingHyphens(String str) {
        if (str.startsWith("--")) {
            return str.substring(2, str.length());
        }
}
```

Fig. 14. Cli-5 in Defects4J fixed by Avatar with the fix pattern for the NP\_NULL\_ON\_SOME\_PATH violation.

straightforwardly, making the program exit the context method or return a default value of the method declaration type when the value of the suspicious variable is null. A real example is Cli-5: the buggy method tries to strip the leading hyphens from the input String parameter "str" by calling String.startsWith() without considering the input to be a null string reference that will lead to a NullPointerException.

Such an issue can be resolved by adding a null check for the parameter "str" before asserting the leading hyphens. Indeed, AVATAR's patch adds a null check for the input parameter and fixes this bug. Figure 14 shows the detail of the patch in GNU Diff format. The bugs *Chart-14*, 19, *Codec-17*, *Csv-4*, *Csv-11*, *Math-4*, and *Mockito-38* have the same problem and are addressed in the same way by AVATAR. The bugs *Chart-4*, 26, *Closure-2*, *Csv-5*, *Gson-6*, and *Mockito-29* also face the same problem and are fixed by AVATAR, warping the impacted code fragments with the conditional non-null check block. The aforementioned 16 bugs are caused by ignoring the null cases of the referenced variables, which are just right for the fix pattern NP\_NULL\_ON\_SOME\_PATH in AVATAR

FindBugs describes the violation DLS\_DEAD\_LOCAL\_STORE as assigning a value to a local variable whose value is not read or used in any subsequent instruction. It often indicates an error because the value computed is never used. The repair action of its fix pattern is to substitute a variable at the buggy location to another variable, which addresses the same code change behavior of replacing the buggy referenced variable with the correct one. Take <code>Lang-6</code> as an example. At the buggy location, a for-loop is used to accumulate the number of characters, but the local variable "pt" defined in the for-loop is never used, which causes the bug.

Avatar fixes this bug by replacing the variable "pos" with the local variable "pt." Figure 15 shows the detail of the patch. By replacing the buggy referenced variable with the adequate variable, Avatar correctly fixes other 19 bugs (i.e., Chart-8, 11, 24, Compress-14, Lang-57, 59, Math-33, 59, 98, Time-7, Bugs.jar-Log4J2-16, Bugs.jar-OAK-194, Bugs.jar-Math-45, 63, 79, 114, and QuixBugs-8, 11, 31) as well. Although the initial object of the fix pattern DLS\_DEAD\_LOCAL\_STORE is to address the uncalled variable, its repair action can be transplanted to fixing bugs with the wrong-referenced variable. Additionally, the patches generated by Avatar for bugs Compress-14 and Lang-57 are different from the ground-truth patches provided by developers, but they are semantically similar to each other, since they reach the same target in different ways. It indicates that Avatar can fix bugs in a way that is different from the developers' bug-fixing results.

For the violation UC\_USELESS\_CONDITION, FindBugs explains it as a case that a condition always produces the same result as the value of the involved variable was narrowed before and will

```
// Violation Type: NP_NULL_ON_SOME_PATH

// Defects4J Dissection:
// Bug Pattern: Wrong variable reference.

// Repair Action:
// Replacing the buggy variable reference with another one.

--- a/src/main/java/org/apache/commons/lang3/text/translate/CharSequenceTranslator.java +++ b/src/main/java/org/apache/commons/lang3/text/translate/CharSequenceTranslator.java @@ -92,5 +92,5 @@ public abstract class CharSequenceTranslator {
    // contract with translators is that they have to understand codepoints
    // and they just took care of a surrogate pair
    for (int pt = 0; pt < consumed; pt++) {
        pos += Character.charCount(Character.codePointAt(input, pos));
        pos += Character.charCount(Character.codePointAt(input, pt));
    }
}</pre>
```

Fig. 15. Lang-6 in Defects4J fixed by Avatar with the fix pattern for the DLS\_DEAD\_LOCAL\_STORE violation.

Fig. 16. *Time-19* in Defects4J fixed by Avatar with the fix pattern for the UC\_USELESS\_CONDITION violation.

not affect the related code. Its repair action is either removing the condition or trying to modify the condition (e.g., by flipping the operator). One example is the patch for bug *Closure-31* shown in Figure 3, where Avatar's patch removes one of the three conditions in the buggy if-statement and fixes this bug. Figure 16 shows another example—the patch for the bug *Time-19*—that is generated Avatar by changing the operator in the buggy conditional infix-expression. Avatar also generates correct patches for the other 20 bugs (i.e., *Closure-18*, 38, 62, 73, 168, *Compress-19*, *JacksonCore-25*, *Lang-15*, *Math-82*, 85, *BEARS-133*, 135, *QuixBugs-6*, 14, 24, 28, *Bugs.jar-Accumulo-21*, *Bugs.jar-OAK-138*, and Bugs.jar-Math-38, 54). We observe that these bugs are caused by the redundant conditional expressions or the incorrect operators in the conditional infix-expressions, which can be fixed by removing the unneeded conditional expressions or flipping the operators in buggy infix-expressions. The UC\_USELESS\_CONDITION violation describes different issues from those bugs, but their fixing behavior reaches the same destination.

Being different from the UC\_USELESS\_CONDITION, the violation UCF\_USELESS\_CONTROL \_FLOW means that the control flow of a statement continues onto the same place regardless of whether the branch is taken or not. Thus, its fix pattern is to remove the control flow but keep the code in the block or remove all code of the entire control flow, as presented in

96:24 K. Liu et al.

```
// Violation Type: UCF_USELESS_CONTROL_FLOW
// Defects4J Dissection:
// Bug Pattern: Conditional block removal.
// Repair Action:
// Removing the entire buggy if statement.
--- a/src/main/java/org/apache/commons/lang3/time/FastDateParser.java
+++ b/src/main/java/org/apache/commons/lang3/time/FastDateParser.java
@@ -304,13 +304,13 @@ public class FastDateParser implements DateParser, Serializable {
         boolean wasWhite= false;
         for(int i= 0; i<value.length(); ++i) {</pre>
             char c= value.charAt(i);
             if (Character.isWhitespace(c)) {
                 if(!wasWhite) {
                     wasWhite= true;
                     regex.append("\\s*+");
                 continue;
             wasWhite= false;
             switch(c) {
             case '\'':
```

Fig. 17. Lang-10 in Defects4J fixed by Avatar with the fix pattern for the violation UC\_USELESS\_CONTROL\_FLOW.

Table 5. The bug Lang-10 shown in Figure 17 is caused by the "IfStatement," which will change the value of the variable "regex" and break its parent loop "ForStatement," thus, it is fixed by removing the entire control flow of the "IfStatement" with AVATAR. AVATAR also generates correct patches for other 12 bugs (i.e., Cli-32, Closure-11, 115, 126, Codec-18, Compress-27, 31, Csv-15, Gson-4, Jsoup-63, 68, and Math-50) that have the same problem with the same repair action. In addition, AVATAR correctly fixes Chart-18, Closure-106, 138, and JxPath-17 by removing the control flow statement but keeping the code in the block. The fix pattern for the UC\_USELESS\_CONDITION violation is to remove the useless control flow in code, which is actionable to remove the buggy control flow in programs to fix the related bug.

The other 5 bugs are fixed with the remaining four fix patterns. ICAST\_IDIV\_CAST\_TO\_DOUBLE warns about the division result of implicitly casting integers to float-point numbers may lose precision that may deviate from the developer's expected behavior for programs. Its fix pattern in AVATAR will cast both of the integer operands to float-point numbers in the dividing expression before performing the division, which can resolve the losing-precision problem. It indeed fixes the related bugs <code>Math-11</code> and <code>Bugs.jar-Math-137</code>. The violation <code>NP\_ALWAYS\_NULL</code> describes a <code>NullPointerException</code> will be thrown because of a null pointer dereference. AVATAR addresses this issue by flipping the operator in the conditional null-checking expression to avoid dereferencing, which correctly fixes the bug <code>Chart-1</code>. <code>UPM\_UNCALLED\_PRIVATE\_METHOD</code> is used to present that a private method is never called in the program, which is fixed by removing the entire uncalled method directly. Our experimental results show that its fix pattern can be used to fix the bug caused by the incorrectly overridden method (i.e., <code>Closure-46</code>). <code>BC\_UNCONFIRMED\_CAST</code> represents the unchecked/unconfirmed cast in code that could cause the faulty execution with <code>ClassCastexception</code>. Thus, its fix pattern is to add the <code>instanceof</code> checking before the

cast, which indeed fixes the semantic bug caused by the unchecked cast (i.e., *Math-89*). Note that the bug-fixing performance of these four fix patterns is not associated with the static analysis tools and their granularity, which could be related to the two-aspect reasons discussed below.

The experimental results suggest that the fix patterns for static analysis violations can be used to address semantic bugs that make the program fail on functional tests. However, some of the fix patterns mined from the available data were not successfully applied to fix semantic bugs in the considered benchmarks or were useful only on a small number of bugs. Nevertheless, this experimental fact could be biased by the available artifact, and we could not conclude that such fix patterns are definitely not effective. Indeed, we can identify two reasons why these patterns were not effective in our experiments: (1) the low diversity of bugs in the benchmark dataset which maintained manually, and (2) the representativity of the mined fix patterns may be limited: They are mined from publicly recorded patches; other patches made during development that are associated to functional test cases may be missing in our inference patch dataset. We further note that static analysis violations may be entirely different from the functions bugs that make programs fail to pass test cases. Exploring such cases is, however, out of the scope of our study. Instead, our work attempts to leverage the fix patterns that can be relevant for addressing semantic bugs.

**RQ2** Avatar exploits a variety of fix ingredients from the fix patterns of static violations to address a diverse set of bugs in both the real world and in-the-lab benchmark datasets. Semantic bugs could be caused by problems that are different from the static violations, but they still can be solved by the fix patterns for static violations.

# 6.3 Comparing against the State-of-the-art

To reliably compare against the state-of-the-art in **Automated Program Repair (APR)**, we must ensure that the **Fault Localization (FL)** step is properly tuned, as FL could bias the bug-fixing performance of APR tools [29, 33, 34]. We identify three major configurations in the literature:

- (1) **Restricted\_FL-based APR**: In this case, APR systems make the assumption that some information of the code location is available. For example, in HDRepair [24], fault localization is restricted to the faulty methods, which are assumed to be known. Such a restriction substantially increases the accuracy of the target list of fault locations for which a patch must be generated [29]. In Section 6.1, we have made a similar strong assumption that fault locations are known, as our objective was to assess the patch generation performance of AVATAR.
- (2) **Normal\_FL-based APR**: In this case, APR systems directly use off-the-shelf fault localization techniques (e.g., GZoltar [3]) to localize bug positions at the line level. Specifically, 13 state-of-the-art open-source APR tools are re-executed with the same fault localization setting (i.e., GZoltar-v1.7.2 + Ochiai ranking metric) as AVATAR.
- (3) **APR tools with** *Unspecified/Unconfirmed* **FL configuration**: In this case, APR tools only claim that the fault localization is conducted with spectrum-based fault localization without clearly clarifying the exact FL technique. And we failed to re-execute the related APR tools for different reasons (e.g., the problems from availability or executability).

We thus compare the bug-fixing performance of AVATAR with the state-of-the-art APR tools after classifying them into these three groups. Note that, in this section, the experiments for AVATAR are conducted with the Defects4J dataset, since the state-of-the-art APR tools are mainly evaluated on this benchmark.

6.3.1 Comparison against Restricted\_FL-based APR Tools. We first compare AVATAR against the state-of-the-art APR systems, which implement a restricted fault localization configuration,

96:26 K. Liu et al.

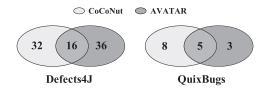


Fig. 18. Relationship of correctly fixed bugs by CoCoNut and AVATAR.

including HDRepair [24], JAID [4], and SketchFix [14]. For AVATAR, we select faulty locations using the same assumption as these three tools, i.e., focusing on attempting to repair suspicious code statements that are reported by the fault localization tool (i.e., the GZoltar-v0.1.1 with the Ochiai metric [52]) but only considering the suspicious statements within the known faulty methods. This assumption leaves out many noisy statements, reducing the probability of generating plausible patches for bugs and further increasing the chance to generate a correct patch before a plausible one or execution timeout.

In the community, APR tools have been proposed to be evaluated with the assumption of perfect fault localization. Such APR tool (CoCoNut [39]) is included in this category as well. The comparison results between AVATAR and CoCoNut are presented in Table 3. We observe that AVATAR can correctly fix more bugs in Defects4J than CoCoNut,<sup>22</sup> but CoCoNut can correctly fix more bugs in QuixBugs than AVATAR. AVATAR achieves higher **correct ratios (CR)** of generating correct patches for fixed bugs in QuixBugs dataset with 100% precision but achieves lower CR on Defects4J dataset with 61.4% precision than CoCoNut (i.e., 65% and 80%, respectively). Figure 18 further illustrates the differences between the bugs correctly fixed by AVATAR and CoCoNut. CoConut can correctly fix more bugs in QuixBugs that cannot be correctly fixed by AVATAR, but AVATAR can correctly fix more Defects4J bugs that cannot be correctly fixed by CoCoNut. CoCoNut cannot generate correct patches for 39 (=36 + 3) bugs in Defects4J and QuixBugs that can be correctly fixed by AVATAR. It indicates that AVATAR can be complementary to the state-of-the-art CoCoNut APR tool.

Table 6 presents the comparing results against the APR tools under the assumption of known faulty methods. Note that HDRepair, JAID, and SketchFix are evaluated with the early version of Defects4J, thus, their fixing performance on the newly added bugs in Defects4J-v2.0.0 is not available, which is presented with the dash symbols in Table 6. In addition, four bugs (*Closure-63, Closure-93, Lang-2, Time-21*) are deprecated in Defects4J 2.0.0, so the related results in each work are filtered out, respectively.

Compared with three state-of-the-art tools under the intersectional bug collections of six projects (*Chart, Closure, Lang, Math, Mockito, Time*), Avatar correctly fixes more bugs than all three tools and yields a higher probability to generate correct patches among all plausible patches than HDRepair and JAID (cf., CR(%) in Table 6). All 25 bugs fixed by Avatar are not addressed by HDRepair, and Avatar also correctly fixes 6 bugs (i.e., *Chart-1, Closure-62, Closure-73, Lang-57, Lang-59, Time-19*) that are only plausibly (but incorrectly) fixed by HDRepair. 21 bugs correctly fixed by Avatar are not addressed by JAID, and 17 bugs correctly fixed by Avatar are not addressed by SketchFix.

Overall, as illustrated in Figure 19, 18 bugs correctly fixed by JAID, HDRepair, or SketchFix are not correctly resolved by AVATAR. On the opposite, JAID, HDRepair, or SketchFix cannot generate correct patches for 14 bugs that are correctly fixed by AVATAR. **AVATAR could be complementary to the state-of-the-art APR tools configured with the restricted fault localization.** 

In addition, AVATAR can generate valid patches for 22 bugs newly added in Defects4J, and 8 of them are fixed with correct patches. Finally, AVATAR partially fixes 16 bugs that have multiple faulty

 $<sup>^{22}</sup>$ We re-executed CoCoNut with the Defects4J and QuixBugs but failed to re-execute it with Bugs.jar and BEARS.

| Project | HDRepair | JAID         | SketchFix | Avatar      |                 |  |
|---------|----------|--------------|-----------|-------------|-----------------|--|
| Troject | ПБКерап  | JAID         | Sketchia  | Fully fixed | Partially fixed |  |
| Chart   | 0/2      | 2/4          | 6/8       | 7/10        | 0/2             |  |
| Closure | 0/7      | <b>5</b> /11 | 3/5       | 5/19        | 0/1             |  |
| Lang    | 2/6      | 1/8          | 3/4       | 2/6         | 0/1             |  |
| Math    | 4/7      | 1/8          | 7/8       | 7/18        | 1/3             |  |
| Mockito | 0/0      | 0/0          | 0/0       | 2/2         | 0/1             |  |
| Time    | 0/1      | 0/0          | 0/1       | 2/2         | 0/0             |  |
| Others* | _        | _            | _         | 8/22        | 1/8             |  |
| Total   | 6/23     | 9/31         | 19/26     | 25/57       | 1/8             |  |
| 10181   | 0/43     | 9/31         | 19/20     | (33/79)*    | (2/16)*         |  |
| CR (%)  | 26.1     | 29.0         | 73.1      | 43.9 (41.8) | 12.5            |  |

Table 6. Comparison of AVATAR with HDRepair [24], JAID [4], and SketchFix [14]

The results for HDRepair, JAID, and SketchFix are provided by their authors.

<sup>\*</sup>Others refer to the bugs newly added in Defects4J-v2.0.0 that are not evaluated by APR systems except AVATAR, and the numbers presented in the parentheses include the results for those newly added bugs fixed by AVATAR. CR represents the correctness ratio of valid patches generated by APR tools that are correct [34], the same for Table 7. \*The number of bugs fixed by AVATAR shown in this table is a little different from the data in Table 3. For fixing each bug, the input of AVATAR is a ranked list of suspicious statements in the faulty methods, which is different from the input of AVATAR in the experiments of Sections 6.1 and 6.3.2.

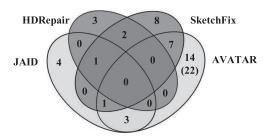


Fig. 19. Relationship of correctly fixed bugs by HDRepair, JAID, SketchFix, and AVATAR, respectively.

code fragments, and 2 of the associated patches are manually checked to be correct. Note that, considering the bugs with multiple buggy positions, due to the limitation of bug-fixing mechanism of AVATAR, AVATAR cannot fix the bugs with multiple bug positions of which bug-triggering test cases are overlapped, but can only fix the bugs with multiple bug positions that are triggered by independent test cases.

Compared with the results summarized in Table 3 in Section 6.1 where the perfect fault localization is configured, AVATAR's performance falls quickly under the guide of method-level fault localization results. We analyze the reasons as follows:

- (1) In the experiment setting of Section 6.1, we allow AVATAR to generate all plausible patches for a single bug. We aim to fully evaluate the fixing ability of AVATAR. However, we focus on a real-world circumstance here, and AVATAR is only allowed to generate one plausible patch for one bug, where a plausible patch may prevent generating a correct patch.
- (2) The inaccuracy of fault localization results will mislead AVATAR on the direction of fixing, which will bias the results. Our experiment shows that AVATAR sometimes repairs at locations without any fault. So, the generated plausible patches are inevitably false positive ones.

96:28 K. Liu et al.

| Fault Localization | APR Tool        | Chart | Closure | Lang  | Math  | Mockito | Time | Others* | Total          | CR*(%)      |
|--------------------|-----------------|-------|---------|-------|-------|---------|------|---------|----------------|-------------|
| GZoltar-0.1.1      | Avatar          | 6/11  | 4/16    | 2/6   | 7/24  | 2/2     | 0/0  | 6/24    | 21/59 (27/83)* | 35.6 (32.5) |
| GZoltar-1.7.2      | AVATAR          | 6/11  | 6/15    | 1/6   | 5/18  | 0/0     | 0/0  | 2/17    | 18/50 (20/67)* | 36 (29.9)   |
|                    | jGenProg [42]   | 0/5   | 2/2     | 0/2   | 3/11  | 0/0     | 0/0  | -       | 5/20           | 25.0        |
|                    | jKali [42]      | 0/4   | 3/8     | 2/4   | 1/9   | 0/0     | 0/0  | -       | 6/25           | 24          |
|                    | jMutRepair [42] | 1/4   | 2/5     | 0/2   | 2/11  | 0/0     | 0/0  | -       | 5/22           | 22.7        |
|                    | DynaMoth [6]    | 0/6   | -       | 0/2   | 1/13  | 0/0     | 0/1  | -       | 1/22           | 4.5         |
|                    | Nopol [70]      | 0/6   | -       | 1/6   | 0/18  | 0/0     | 0/1  | _       | 1/31           | 3.2         |
| Normal FL-based    | Cardumen [43]   | 2/4   | 0/2     | 0/0   | 1/6   | 0/0     | 0/0  | -       | 3/12           | 25.0        |
| APR Tools          | kPAR [29]       | 3/13  | 2/10    | 1/18  | 4/22  | 0/0     | 0/1  | -       | 10/63          | 15.9        |
| AI K 100IS         | FixMiner [21]   | 5/14  | 0/2     | 0/2   | 7/15  | 0/0     | 0/0  | _       | 12/33          | 36.4        |
|                    | TBar [31]       | 7/16  | 3/12    | 6/21  | 8/23  | 0/0     | 0/0  | -       | 24/72          | 33.3        |
|                    | RSRepair-A [76] | 0/4   | 4/22    | 0/3   | 0/12  | 0/0     | 0/0  | -       | 4/41           | 9.8         |
|                    | ARJA [76]       | 1/10  | 2/29    | 0/3   | 3/15  | 0/1     | 0/0  | -       | 6/58           | 10.3        |
|                    | ACS [68]        | 2/2   | 0/0     | 3/3   | 11/16 | 0/0     | 1/1  | _       | 17/22          | 77.3        |
|                    | SimFix [16]     | 3/8   | 7/19    | 5/16  | 10/25 | 0/0     | 0/0  | _       | 25/68          | 36.8        |
|                    | ssFix [66]      | 3/7   | 2/11    | 5/12  | 10/26 | 0/0     | 0/4  | -       | 20/60          | 33.3        |
|                    | CapGen [63]     | 4/4   | -       | 5/5   | 12/16 | -       | 0/0  | -       | 21/25          | 84.0        |
|                    | LSRepair [32]   | 3/8   | 0/0     | 8/14  | 7/14  | 1/1     | 0/0  | -       | 19/37          | 51.4        |
|                    | ELIXIR [56]     | 4/7   | 0/0     | 8/12  | 12/19 | 0/0     | 2/3  | -       | 26/41          | 63.4        |
| APR tools with     | Hercules [57]   | 6/9   | 6/8     | 10/13 | 21/28 | _       | 3/5  | _       | 46/63          | 73.0        |
| Unspecified/       | PraPR [9]*      | 4/14  | 12/62   | 3/19  | 6/40  | 0/5     | 1/8  | -       | 26/148         | 17.6        |
| Unconfirmed FL     | DeepRepair [64] | 0/4   | -       | 4/5   | 1/5   | _       | _    | _       | 5/14           | 35.7        |
|                    | VFix [69]       | 6/6   | -       | 5/5   | 1/2   | -       | 0/0  | -       | 12/13          | 92.3        |
|                    | ConFix [19]     | 4/13  | 6/21    | 5/15  | 6/37  | -       | 1/6  | -       | 22/92          | 23.9        |
|                    | GenPat [15]     | 3/8   | 5/7     | 4/11  | 3/13  | 1/2     | 0/1  | -       | 16/42          | 38.1        |
|                    | DLFix [26]      | 5/12  | 6/10    | 5/12  | 12/28 | 1/1     | 1/2  | -       | 30/65          | 46.2        |

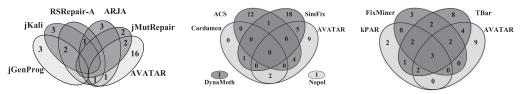
Table 7. Number of Bugs Reported as Having Been Fixed by Different APR Tools

**RQ3** AVATAR presents the higher efficacy of generating meaningful patches with a set of predefined patterns than the state-of-the-art approaches on the Defects4J benchmark, under the assumption of perfect fault localization. We also show that the inaccuracy of bug localization in a known method will bias the bug-fixing performance.

6.3.2 Comparison against Normal\_FL-based APR Tools. We compare the bug-fixing performance of AVATAR with the Normal\_FL-based state-of-the-art APR tools that are evaluated on the Defects4J benchmark. These APR tools take as input a ranked list of suspicious statements that are reported by an off-the-shelf fault localization technique. In this experiment, we consider a group of APR systems, namely, jGenProg [41], jKali [41], jMutRepair [42], DynaMoth [6], Nopol [70], Cardumen [43], kPAR [29], FixMiner [21], TBar [31], ARJA [76], RSRepair-A [76], ACS [68], and SimFix [16], which are re-executed with the same fault localization configuration (i.e., GZoltarv1.7.2 and the Ochiai ranking metric) by Liu et al. [34] as AVATAR. Thus, we directly excerpt the related results in their experiments[34] to avoid the potential bias from the different fault localization settings [29]. Table 7 reports the comparison results in terms of the number of plausibly fixed bugs and the number of correctly fixed bugs. Since other tools are evaluated on the earlier version of Defects4J, the newly added bugs in Defects4J-2.0.0 that are fixed by AVATAR are summarized into the Others column. For AVATAR, in the last two columns of Table 7, the numeric values presented in parentheses include the newly added and fixed Defects4J bugs.

We group the existing program repair tools into three categories by their core approaches: random-based approaches (i.e., jGenProg, jKali, jMutRepair, ARJA, and RSRepair-A), sophisticated heuristic approaches (i.e., DynaMoth, Nopol, Cardumen, ACS, and SimFix), and template-based approaches (i.e., kPAR, FixMiner, andTBar) and illustrate the differences between them and AVATAR on the fixed bugs in terms of Venn graphs presented in Figure 20. Compared against random-based,

<sup>\*</sup>PraPR generates plausible patches for 148 Defects4J bugs, and 43 of them can be fixed with correct patches, but 23 of them are fixed with correct patches that are ranked as the first plausible patch.



(a) Comparing with random-based ap-(b) Comparing with sophisticated (c) Comparing with random-based approaches. proaches.

Fig. 20. Comparing AVATAR with the three kinds of state-of-the-art program repair approaches.

sophisticated heuristic, and template-based program repair approaches, AVATAR can correctly fix 16, 9, and 9 new bugs that were not fixed by them before, respectively. Such results indicate that AVATAR could be complementary to the state-of-the-art program repair tools when they are set with the same fault localization configuration.

We note that AVATAR outperforms all of the *Normal\_FL*-based APR systems in terms of both the number of plausibly fixed bugs and the number of correctly fixed bugs, except T-Bar, ACS, and SimFix. Here, we claim that TBar considers various fix patterns released by the program repair community in recent years. AVATAR also yields a higher probability to generate correct patches among its plausible patches than those tools, except FixMiner, ACS, and SimFix. In all, we claim that AVATAR achieves good performance for fixing the Defects4J bugs both in quantity and quality.

Compared with the results in Section 6.3.1, although both experiments use the same fault localization metric, some of the bugs are not correctly fixed in the *Normal\_FL*-based configuration. We analyze the reasons as follows:

- (1) As we have mentioned previously, the experiment in Section 6.3.1 uses only an effective subset of a suspiciousness ranking list to meet the assumption that method-level fault locations are known. However, here, we use the whole ranked suspicious list, which could bias AVATAR from fixing the real buggy lines.
- (2) Since AVATAR generates patches based on the whole suspiciousness list, the quantity of generated patches may be inevitably huge, especially for bugs with thousands of suspicious locations. We believe generating patches as many as possible is a waste of resources. So, we allow AVATAR to generate up to 5,000 patches at most. As a result, some of the correct patches ranked after this threshold because of low suspiciousness in code are ignored by AVATAR.
- (3) Due to the limitation of fault localization techniques, some bugs fail to be localized by fault localization tools and consequently do not exist in the suspiciousness list. It is impossible for AVATAR to correctly fix such bugs.

**RQ3** In terms of quantity and quality of generated plausible patches, AVATAR addresses more bugs than its immediate competitors. Nevertheless, we note that AVATAR is complementary to the other state-of-the-art APR systems, fixing bugs that others do not fix.

6.3.3 Comparison against with Unspecified/Unconfirmed FL-configured APR Tools. We also compare AVATAR against APR systems whose authors do not explicitly describe the actual fault localization configuration but still manage to fix bugs that we could not localize with GZoltar-1.7.2/Ochiai. For example, ELIXIR [56], PraPR [9], and GenPat [15] rely on the Ochiai technique to identify potential buggy statements, but more details about off-the-shelf fault localization techniques are not provided. Hercules stated that it uses a spectrum-based fault localization technique to detect potential repair locations. CapGen [63] applies GZoltar and Ochiai to detect bug positions, but

96:30 K. Liu et al.

the exact version of GZoltar is not clarified. In a recent study, Liu et al. [29] reported that the different versions of GZoltar present different performance on locating Defects4J bugs. We include other APR tools in this category that we failed to re-execute them because of the problems from availability (i.e., APR tool is not publicly available) or executability (i.e., an APR tool is publicly available but cannot be executed as-is for diverse issues, such as the specific configuration for LSRepair [32] and the online connection for ssFix [66]). Thus, the bug-fixing results for these APR tools are directly excerpted from their papers.

Overall, AVATAR shows a competitive repair performance, comparing with APR tools with Unspecified/Unconfirmed FL techniques. The compared performance results are illustrated in Table 7. Although our tool does not completely outperform other tools in this category, it produces comparable or better results than 5 out of 11 APR tools. Due to the differences in fault localization result, AVATAR underperforms other 6 APR tools, such as Hercules, ELIXIR, and DLFIX. This might be mitigated by applying the same FL setting to our tool. AVATAR also shows the best performance on fixing bugs for *Chart* and *Mockito* subjects comparing with other tools in this category.

**RQ3** AVATAR underperforms some of APR systems. Nevertheless, AVATAR is still complementary to them, as it is capable of addressing some Defects4J bugs that state-of-the-art cannot fix.

## 6.4 Bias from Fault Localization Settings

In APR community, there is a primary challenge faced by practitioners: the plausible patches generated by APR tools. Qi et al. [53] and Smith et al. [58] reported that the plausible patches generated by APR tools can make the patched buggy programs pass all test suites, but these patches do not correctly fix the related bugs as expected by developers or even make the patched programs worse than the buggy ones. As analyzed by Liu et al. with the empirical study cited in Reference [29], such plausible patches could be generated by modifying the non-buggy statements that are incorrectly identified as the suspicious statements by the corresponding fault localization technique. And the same APR tools could present different bug-fixing performance when they are configured with different fault localization settings [34]. Therefore, this section aims at investigating to what extent AVATAR can be affected on bug-fixing performance when it is configured with different fault localization techniques. As presented in Table 7, when the patch generation process of AVATAR is fed with suspicious bug positions uncovered by different fault localization techniques (i.e., GZoltar-0.1.1 and GZoltar-1.7.2 in this study) and presents different bug-fixing performances (cf., Table 7) in terms of the plausibly fixed and correctly fixed bugs as well as the related correctness ratio for generated patches. It further confirms that the APR tool could be biased by the fault localization techniques from the aspect of normal program repair pipeline, which is not investigated in the literature [29, 34].

GZoltar-0.1.1 is the early version of GZoltar, and GZoltar-1.7.2 is the advanced one. However, the number of bugs plausibly fixed and correctly fixed by Avatar with as well as the related correctness ratio are higher than Avatar with GZoltar-1.7.2. As detailed in Figure 21, with GZoltar-0.1.1, Avatar can correctly fix 10 bugs that cannot be correctly addressed with GZoltar-1.7.2; only 3 bugs belong to the opposite situation. From the aspect of the number of fixed bugs, Avatar can benefit from the early version of GZoltar, although its advanced version can be used to detect more bugs.

We further investigate the efficiency in terms of the number of patch candidates generated by AVATAR to fix each bug with two different versions of GZoltar, of which results are illustrated in Figure 22. The efficiency of generating patch candidates has been highlighted in a recent work [34] as one of important aspects to assess APR tools. "*Plausible*" represents the bugs that are fixed by AVATAR with GZoltar-0.1.1 and GZoltar-1.7.2, respectively. "*Correct*" denotes the correctly fixed

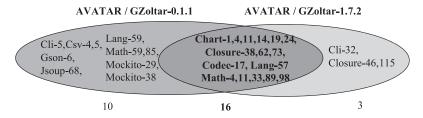


Fig. 21. Bugs correctly fixed by AvATAR under GZoltar 0.1.1 and 1.7.2, respectively.

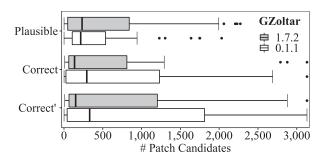


Fig. 22. Efficiency of fixing bugs with AVATAR under GZoltar-0.1.1 and GZoltar-1.7.2.

bugs with GZoltar-0.1.1 and GZoltar-1.7.2, respectively. While "Correct" considers the 16 bugs that are correctly fixed by AVATAR using both the versions of GZoltar.

When focusing on the efficiency of generating patches for all plausibly fixed bugs, AVATAR with GZoltar-0.1.1 presents a higher efficiency than AVATAR with GZoltar-1.7.2. Nevertheless, when looking at the correctly fixed bugs and the intersection of correctly fixed bugs, AVATAR with GZoltar-0.1.1 is less efficient than AVATAR with GZoltar-1.7.2.

To sum up, the advanced fault localization technique, GZoltar, could not assist AVATAR to fix more bugs than its early version, but it can make AVATAR generate correct patches for fixing bugs in a more efficient way. Therefore, fixing more bugs and fixing bugs in a more efficient way lead to a tradeoff on selecting fault localization techniques for AVATAR to fix real bugs.

**RQ4** The bug-fixing performance of AVATAR may be biased by different fault localization techniques. The tradeoff between the number of fixed bugs and the efficiency of generating patch candidates should be well addressed to select the related fault localization technique when applying AVATAR to practical buggy programs.

# 6.5 Improving Bug-fixing Performance with Stack Trace Information

AVATAR revises suspicious statements in the ranked list exposed in the fault localization process one-by-one to generate patch candidates until one valid patch is found. It is somehow different from the manually debugging process. In practice, when a bug arises with the crashed statements in a stack trace (e.g., the crashed statements of bug *Lang-6* shown in Figure 23), developers are more prone to address these crashed statements than others. The line-05 in Figure 23 indeed is the buggy statement shown in Figure 24. So, we mimic the manual debugging and apply the stack trace information to the fault localization of AVATAR to assess whether the bug-fixing performance of AVATAR can be improved.

After closely looking at the stack trace information, we observe that three kinds of information could be used to refine the fault localization results: ① the class targeted by the failing-executed

96:32 K. Liu et al.

```
01: --- org.apache.commons.lang3.StringUtilsTest::testEscapeSurrogatePairs
02: java.lang.StringIndexOutOfBoundsException: String index out of range: 2
03: at java.lang.String.charAt(String.java:558)
04: at java.lang.Character.codePointAt(Character.java:4884)
05: at org.apache.commons.lang3.text.translate.CharSequenceTranslator.translate(CharSequence Translator.java:95)
06: at org.apache.commons.lang3.text.translate.CharSequenceTranslator.translate(CharSequence Translator.java:59)
07: at org.apache.commons.lang3.StringEscapeUtils.escapeCsv(StringEscapeUtils.java:556)
.....
```

Fig. 23. Excerpted stack trace after executing the test cases of bug Lang-6 in Defects4J.

Fig. 24. Developer's patch for fixing bug Lang-6 in Defects4].

```
Excerpted stack trace after executing the test cases of bug Time-7:
---org.joda.time.format.TestDateTimeFormatter::
    testParseInto_monthDay_feb29_newYork_startOfYear
    org.joda.time.IllegalFieldValueException: Cannot parse "2 29": Value 29 for dayOfMonth must
    .....

Excerpted patch for fixing bug Time-7:
--- a/src/main/java/org/joda/time/format/DateTimeFormatter.java
+++ b/src/main/java/org/joda/time/format/DateTimeFormatter.java
eqe -700,14 +700,14 eqe public class DateTimeFormatter {
    public int parseInto(ReadWritableInstant instant, String text, int position) {
        .....
        Chronology chrono = instant.getChronology();
        int defaultYear = DateTimeUtils.getChronology(chrono).year().get(instantMillis);
        chrono = selectChronology(chrono);
        int defaultYear = chrono.year().get(instantLocal);
        .....
```

Fig. 25. Excerpted stack trace after executing the test cases of bug *Time-7* in Defects4J.

test case(s) (e.g., the tested class org.joda.time.format.DateTimeFormatter for bug Time-7 shown in Figure 25), ② the exact code method tested by the failing-executed test case(s) (e.g., the method parseInto in the class org.joda.time.format.DateTimeFormatter in Figure 25), and ③ the crashed statements from the source code of the buggy program (e.g., the line-05 highlighted with red in Figure 23). Other information is not related to the bug positions, thus is discarded in this study for refining the fault localization. With the observation, we propose to refine the fault localization as below: ① Prioritize the crashed source code statements ( $s_1$ ) in the stack trace. It straightforwardly considers that the crashed source code statements in stack trace have a higher suspiciousness value than other statements, since manually debugging will first focus on such statements. ② Prioritize the statements in the code methods ( $s_2$ ) and classes ( $s_3$ ) targeted by test cases. It aims to figure out the source code range that is targeted

| FL      | GZoltar-                               | 0.1.1 + Ochiai | GZoltar-0.1.1 + Ochiai + prioritization |                   |  |
|---------|--|----------------|---|-------------------|--|
| Setting | tting # fixed bugs   correctness ratio |                | # fixed bugs                            | correctness ratio |  |
| Avatar  | 26/82                                  | 31.7%          | (26+2+6)/(82+0+8)                       | 37.8%             |  |

Table 8. Comparison on Improved Bug-fixing Performance of AVATAR

| normal FL-  |                   |                 | - •    |
|-------------|-------------------|-----------------|--------|
| refined FL- |                   | • •             | •      |
| Ö           | 1,000             | 2,000           | 3,000  |
|             | # patch candidate | es generated by | AVATAR |

Fig. 26. Comparison on the number of patch candidates generated by AVATAR with normal and refined FL.

by test cases. In practice, for the convenience of maintenance and the high readability of program code, developers often write test code for their programs following a canonical naming convention that names the test classes and test methods with their targeting class and method names (e.g., Test\*\*\* or \*\*\*Test). According to this naming convention, we suppose that the failing test cases are always associated with the related source code. Therefore, we propose that the statements in the scope of the methods and classes tested by the failing test cases have a higher possibility to be faulty than other statements. Overall, we straightforwardly rerank suspicious statements exposed by GZoltar + Ochiai by prioritizing statements  $s_1$ ,  $s_2$ , and  $s_3$  over other suspicious statements for the fault localization of AVATAR.

Table 8 presents the comparing results<sup>23</sup> of Avatar with refined fault localization (refined FL, i.e., GZoltar-0.1.1 + Ochiai + prioritization) against the normal fault localization (normal FL, i.e., GZoltar-0.1.1 + Ochiai). With the refined FL, all of the 26 bugs, which are correctly fixed by Avatar with the normal FL, still can be correctly fixed. Avatar also correctly fixes 2 bugs that are plausibly fixed before. The 2 bugs are not accurately localized with the normal FL, which makes Avatar modify the non-buggy code and generate the plausible but incorrect patches. In addition, Avatar can (correctly) fix (6) 8 more bugs, as they are correctly located by our refined FL but are failed to be localized by normal FL. To sum up, the fault localization refined with the stack trace information makes Avatar fix more bugs with a higher correctness ratio of generated patches.

Looking at the efficiency of fixing bugs in terms of the number of generated patch candidates [34], shown in Figure 26, the efficiency of AVATAR is dramatically improved by generating fewer patch candidates for fixing bugs with refined fault localization than the normal fault localization, since fewer non-faulty statements will be mutated by them to generate the nonsensical/plausible patch candidates. Fewer patch candidates will spend less source (e.g., time) for compiling and testing the patched programs. The results indicate that refining the fault localization with the stack trace information for AVATAR can improve its bug-fixing efficiency by generating fewer patch candidates and reducing the trials on non-sensical patch candidates.

<sup>&</sup>lt;sup>23</sup>In this experimental scenario, only the Defects4J benchmark is used as the evaluation dataset, since AVATAR leverages GZoltar to localize the bug position that relies on the execution traces of passing and failing test cases of each buggy program. We failed to execute GZoltar on most bugs in Bugs.jar and BEARS, since we failed to compile them because of different issues (e.g., the unknown JDK version, the unclear configurations, and the unavailable dependencies listed in their pom.xml file). So, AVATAR cannot fix any bugs in Bugs.jar and BEARS in the Normal-FL scenario, since they cannot be localized. The QuixBugs programs are much simpler than the real-world programs; their bugs can be precisely localized by GZoltar. The bugs in QuixBugs fixed by AVATAR in the Normal-FL scenario are the same as the perfect-FL scenario, thus, we did not report it in the part.

96:34 K. Liu et al.

In the manual debugging process, developers will utilize the exception thrown in the stack trace to determine the problem causing the bug. We thus explore whether the stack trace information can be used to match fix patterns in AVATAR. To this end, we preferentially match the null pointer-related fix patterns over other fix patterns of AVATAR for the 12 null pointer exceptions bugs that are fixed by AVATAR. The experimental results show that, according to matching the null pointer-related fix patterns for the bugs throwing null pointer exception, the numbers of generated patch candidates for fixing each of the 12 bugs are decreased. Overall, the average number of generated patch candidates is decreased by 746. The efficiency of fixing the null pointer bugs is improved effectively by matching the related fix patterns with the throwing exceptions in the stack trace.

**RQ5** The information in stack trace after executing the bug-triggering test cases can be used to effectively improve the bug-fixing performance of AVATAR in terms of the number of the fixed bugs and the generated patch candidates. And the information in stack trace shows the potential of matching adequate fix patterns for fixing the related bugs.

#### 7 THREATS TO VALIDITY

A threat to external validity is related to the use of Defects4J bugs as a representative set of semantic bugs. This threat is mitigated, as it is currently a widely used dataset in the APR literature related to Java. The other threat to internal validity is due to the use of Java programs as subjects. Other static tools, especially for C programs, such as Splint, cppcheck, and Clang Static Analyzer, are not investigated. What is more, we only considered fix patterns mined from FindBugs and PMD violations. The other threat to internal validity is with respect to the spectrum-based fault localization setting of AVATAR that requires the targeted buggy programs to have the failed and successfully executed unit tests for checking execution traces. A threat to construct validity may involve the perfect fault localization setting to assess AVATAR. This threat is minimized by the other different experiments that are comparable with evaluations in the literature.

#### 8 RELATED WORK

The software development practice is increasingly accepting generated patches [20]. Recently, various directions in literature have been explored to contribute to the advancement of automated program repair. One commonly studied direction is the pattern-based (also called example-based) APR. Kim et al. [18] initiated PAR as a milestone of APR based on fix templates that were manually extracted from 60,000 human-written patches. Later studies [24] have shown that the six templates used by PAR could fix only a few bugs in Defects4J. Long and Rinard also proposed a patch-generation system, Prophet [38], that learns code correctness models from a set of successful human patches. They further proposed a new system, Genesis [35], which can automatically infer patch generation transformed from developer-submitted patches for program repair.

Motivated by PAR [18], more effective automated program repair systems have been explored. HDRepair [24] was proposed to repair bugs by mining closed frequent bug fix patterns from graph-based representations of real bug fixes. Nevertheless, its fix patterns, except the fix templates from PAR, still limit the code change actions at **abstract syntax tree (AST)** node level, but are not specific for some types of bugs. ELIXIR [56] aggressively uses method call-related templates from PAR with local variables, fields, or constants to construct more expressive repair expressions that go into synthesizing patches.

Tan et al. [60] integrated anti-patterns into two existing search-based automated program repair tools (namely, GenProg [25] and SPR [36]) to help alleviate the problem of incorrect or incomplete fixes resulting from program repair. In their study, the anti-patterns are defined by themselves and are limited to the control flow graph. Additionally, their anti-patterns are not

meant to solve the problem of deriving better patches automatically, providing more precise repair hints to developers.

More recently, CapGen [63], SimFix [16], FixMiner [21] are further proposed to fix bugs automatically based on the frequently occurred code change operations (e.g., Inserting IfStatement) (cf., Table 3 in Reference [16]) that are extracted from the patches in developer change histories.

So far, however, pattern-based APR approaches focus on leveraging patches that developers applied to semantic bugs. To the best of our knowledge, our approach is the first to investigate the case of leveraging patches fixing static analysis violations: They are many more, better identifiable, and more consistent.

#### 9 CONCLUSION

The correctness of APR-generated patches and efficiency are now identified as a barrier to the adoption of automated program repair systems. Towards guaranteeing correctness and efficiency, researchers have been investigating example-based approaches where fix patterns from human patches are leveraged in patch generation. Nevertheless, such ingredients are often hard to collect reliably. In this work, we propose to rely on developer patches addressing real static analysis bugs. Such patches are concise and precise, and their efficacy (in removing the bugs) is systematically assessed (by the static detectors). We build AVATAR, an APR system that utilizes fix ingredients from static analysis violations patches. We empirically show that AVATAR is indeed effective in repairing programs with semantic bugs. AVATAR outperforms several state-of-the-art approaches and complements others by fixing some of the Defects4J bugs that are not fixed by any APR system in the literature yet. To boost the development of APR, we further investigate the potential reason why Avatar can address semantic bugs, the bug-fixing performance of Avatar biased by different fault localization settings, and assess the possibility of utilizing the stack trace information after the execution of bug-triggering test cases to improve the bug-fixing performance of AVATAR. As future work, we plan to make AVATAR to be flexible in addressing new fix patterns and to integrate with the static analysis tools for resolving program defects automatically.

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96:38 K. Liu et al.

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